

# **ASBU ELEMENTS**

GADS NAVS NOPS OPFL

☑ Functional Description

☑ Deployment Applicability

☑ Performance Impact Assessment

GADS -

GADS-B1/1 Aircraft Tracking Operational Sixth edition of the GANP ? To provide support to the ATSU Alerting Service in areas without ATS surveillance with an update Main Purpose ? rate of the aircraft position of at least once per 15 mins. The objective is to assist the relevant stakeholders in the timely identification and location of aircraft in distress, to reduce reliance on the procedural methods for determining aircraft position and helping to ensure the availability and sharing of aircraft position data. New Capabilities Aircraft operator will be able to track the aircraft, detect missing position reports, notify if necessary the relevant ATSUs and timely share relevant information including last known position(s). Aircraft tracking is one of the Global Aeronautical Distress and Safety System (GADSS) functions Description ? (ref, GADSS ConOPS V6). Aircraft tracking is a process, established by the operator, that maintains and updates, at standardised intervals, a ground based record of the four dimensional position of individual aircraft in flight. (ICAO Annex 6) Maturity Level ? Ready for implementation 1. Does it imply a change in task by a user or affected others? Yes **Human Factor** Considerations 2. Does it imply processing of new information by the user? Yes 3. Does it imply the use of new equipment? Yes If the aircraft operator is not already tracking its aircraft. 4. Does it imply a change to levels of automation? Yes

PLANNING LAYERS ?

Tactical-Pre ops Tactical-During ops

**OPERATIONS ?** 

En-route

DEPENDENCIES AND RELATIONS ②

Type of Dependencies

**ASBU Element** 

Relation-operational need

GADS-B1/2 - Operational Control Directory

COMS-B0/2 - ADS-C (FANS 1/A) for procedural airspace
ASUR-B0/1 - Automatic Dependent Surveillance - Broadcast (ADS-B)
ASUR-B1/1 - Reception of aircraft ADS-B signals from space (SB ADS-B)
COMI-B0/5 - Satellite communications (SATCOM) Class C Data
COMI-B1/3 - SATCOM Class B Voice and Data
COMS-B1/2 - PBCS approved ADS-C (FANS 1/A+) for procedural airspace

Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	Search and rescue	Procedures for aircraft tracking	Operator aircraft tracking policy, process and procedures. References: ICAO Annex 6 – Aircraft Tracking and ICAO Circular 347 - Aircraft Tracking Implementation Guidelines	Aircraft operator	2018
Airborne system capability	Search and rescue	Airborne aircraft tracking system	Airborne aircraft tracking capability. Note: copy table in the circular. Reference: ICAO Annex 6 – Aircraft Tracking and ICAO Circular 347 - Aircraft Tracking Implementation Guidelines.	Aircraft operator	2018
Ground system infrastructur e	Search and rescue	Data link for aircraft tracking	Airborne aircraft tracking capability. Note: copy table in the circular. Reference: ICAO Annex 6 – Aircraft Tracking and ICAO Circular 347 - Aircraft Tracking Implementation Guidelines.	Aircraft operator	2018
Ground system infrastructur e	Search and rescue	Ground aircraft tracking system	System with capability to process and monitor aircraft tracking data. Airborne aircraft tracking capability. Note: copy table in the circular. Reference: ICAO Annex 6 – Aircraft Tracking and ICAO Circular 347 - Aircraft Tracking Implementation Guidelines.	Aircraft operator	2018
Training	Search and rescue	Aircraft tracking training	Aircraft Operator procedures for detecting missing position reports and notifying ATSU's	Aircraft operator	2018

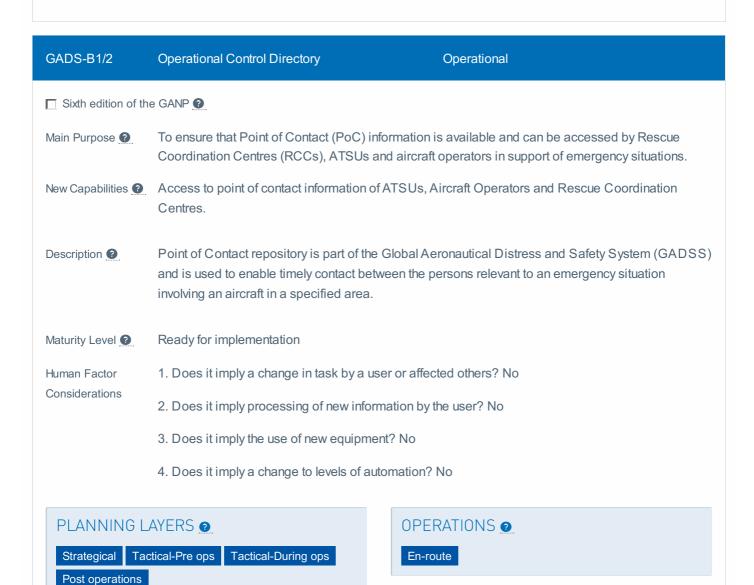
#### **DEPLOYMENT APPLICABILITY**

#### **Operational conditions:**

This element is only applicable in oceanic airspace lacking ATS surveillance with a position update rate of at least

once per 15 mins.					
Main intended benefit	ts:				
Туре	Operational description	Benefitting stakeholder(s)			
	Earlier detection of aircraft in operational anomalies	ANSP Aircraft operator			
Direct benefits	Better position information of aircraft in distress	ANSP Aircraft operator RCC SAR authority			
	Improve situational awareness of aircraft operator	Aircraft operator			

# KPA Focus Areas Most specific performance objective(s) supported KPI Impact KPI



#### DEPENDENCIES AND RELATIONS 2

There are currently no dependencies.

ENABLER	S				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	Search and rescue	Procedures for Operational Control Directory	Procedures for maintaining PoC information and making PoC updates available. Procedures for using PoC	ANSP Aircraft operator	2018
			repository. Reference: Annex 11; Annex 12 and ICAO Circular 347 - Aircraft Tracking Implementation Guidelines	RCC	

#### **DEPLOYMENT APPLICABILITY**

#### **Operational conditions:**

This element is applicable in emergency situations.

#### Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)
Direct hanefite	Faster and more effective response to emergency situations	ANSP Aircraft operator RCC SAR authority
Direct benefits	Improve situational awareness of aircraft operator, ANSP and RCCs.	ANSP Aircraft operator RCC SAR authority

KPA Focus Areas	Most specific performance objective(s) supported	KPI Impact	KPI
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GADS-B2/1	Location of an aircraft in Distress	Operational
☐ Sixth edition of th	e GANP 🕖	
Main Purpose 2	To ensure tracking of aircraft in distress and timely accurately direct search and rescue (SAR) operate	,
New Capabilities ?	To be able to determine the position of an aircraft capability is resilient to failures of the aircraft's elesystems.	•

Description

The localisation of an aircraft in distress is one of the Global Aeronautical Distress and Safety System (GADSS) functions. This function uses on board systems to broadcast aircraft position (latitude and longitude), or distinctive distress signals from which the aircraft position and time can be derived. The aircraft position information will be transmitted, without the need for flight crew action, at least once every minute, when an aircraft is in a distress condition.

An aircraft is in a distress condition when it is in a state that, if the aircraft behaviour event is left uncorrected, may result in an accident. The operator is responsible for ensuring that this information is made available to the actors involved in the emergency.

Ready for implementation

Human Factor
Considerations

- 1. Does it imply a change in task by a user or affected others? Yes
- 2. Does it imply processing of new information by the user? Yes
- 3. Does it imply the use of new equipment? Yes
- 4. Does it imply a change to levels of automation? Yes



Tactical-During ops



#### DEPENDENCIES AND RELATIONS 3

Type of Dependencies ASBU Element

Relation-technology need GADS-B1/2 - Operational Control Directory

ENABLER	S				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	Search and rescue	Procedures for location of aircraft in distress	References: ICAO Annex 6 – Location of an aircraft in distress and ICAO Doc 10054 Manual on Location of Aircraft in Distress and Flight Recorder Data Recovery	ANSP Aircraft operator RCC	2018
Airborne system capability	Search and rescue	Location of aircraft in distress	Applicable to new production aircraft from 2021. Reference: ICAO Annex 6 – Location of an aircraft in distress	Aircraft operator	2023
Ground system infrastructur e	Search and rescue	Capability to receive a distress signal	Distress Tracking Repository. Reference: ICAO Annex 6 – Location of an aircraft in distress and ICAO Doc 10054 Manual on Location of Aircraft in Distress and Flight Recorder Data Recovery	Aircraft operator	2023

Search and Training Aircraft Operator procedures for ADT rescue requirements for

location of aircraft in distress

Aircraft operator

2023

#### **DEPLOYMENT APPLICABILITY**

#### **Operational conditions:**

Training

This element is applicable in emergency situations.

#### Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)
Direct benefits	Faster and more effective response to emergency situations	ANSP Aircraft operator RCC SAR authority
	More reliable accident site location	ANSP Aircraft operator RCC SAR authority

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GADS-B2/2	Distress tracking information management Operational
☐ Sixth edition of th	e GANP ②
Main Purpose 🕜	To ensure distress tracking information is available and can be accessed by RCCs, ATSUs and aircraft operators in support of emergency procedures.
New Capabilities	Access to location of aircraft in distress data.
Description 2	Distress tracking information is of vital importance for efficient and effective handling of distress situations and SAR operations. Distress tracking information management provides a means to make the last known position of an aircraft in distress available to the relevant stakeholders in atimely manner.
Maturity Level ?	Ready for implementation

#### Human Factor Considerations

- 1. Does it imply a change in task by a user or affected others? No
- 2. Does it imply processing of new information by the user? No
- 3. Does it imply the use of new equipment? No
- 4. Does it imply a change to levels of automation? No

#### PLANNING LAYERS 2

Tactical-During ops Post operations

# OPERATIONS ? Departure En-route Arrival

#### DEPENDENCIES AND RELATIONS ? Type of Dependencies **ASBU Element** Relation-operational option SWIM-B2/1 - Information service provision Relation-operational option SWIM-B2/2 - Information service consumption

ENABLER	S				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	Search and rescue	Procedures for making available and accessing	Reference: ICAO Annex 6 – Location of an aircraft in distress/Flight Recorders and ICAO Doc 10054 Manual on Location	ANSP Aircraft operator	2021
		distress tracking information	of Aircraft in Distress and Flight Recorder Data Recovery.	RCC	

#### **DEPLOYMENT APPLICABILITY**

#### **Operational conditions:**

This element is applicable in emergency situations.

#### Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)
Direct benefits	Faster and more effective response to emergency situations	ANSP Aircraft operator RCC SAR authority
	More reliable accident site location	ANSP Aircraft operator RCC SAR authority
	Improve situational awareness of aircraft operator, ANSP and RCCs.	ANSP Aircraft operator RCC SAR authority

KPA Focus Areas Most specific performance objective(s) supported KPI Impact	
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GADS-B2/3	Post Flight Localization	Operational				
☐ Sixth edition of th	e GANP 🚱					
Main Purpose ?		To enable efficient and effective SAR operations by providing accurate position information of the end of flight location following a crash.				
New Capabilities 2	The capability to locate the end of flight with a level of accuracy required by SAR.					
Description ?	beginning immediately at the end of fl	GADSS functions. When an accident occurs there is a phase ight where the rescue of possible survivors has the immediate position information (1 NM or better) is provided through the ide SAR services on site.				
Maturity Level	Standardization					
Human Factor Considerations						
PLANNING L	AYERS 📀	OPERATIONS •				
Post operations		Departure En-route Arrival				
DEPENDENC	DEPENDENCIES AND RELATIONS 2					
Type of Depende	encies ASBU Element					
Relation-operation	onal benefit GADS-B2/1 - Loc	cation of an aircraft in Distress				

ENABLER	S				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	Search and rescue	Procedures regarding the operation of the system intended for timely recovery of flight recorder data	Description of the operator capabilities. Reference: Annex 6 – Location of an aircraft in distress and ICAO Doc 10054 Manual on Location of Aircraft in Distress and Flight Recorder Data Recovery	Aircraft operator	2021

Airborne system capability	Search and rescue	ELT	Reference: ICAO Annex 6 – Location of an aircraft in distress and ICAO Doc 10054 Manual on Location of Aircraft in Distress and Flight Recorder Data Recovery	Aircraft operator	2019
Airborne system capability	Search and rescue	ULD	Reference: ICAO Annex 6 – Location of an aircraft in distress and ICAO Doc 10054 Manual on Location of Aircraft in Distress and Flight Recorder Data Recovery	Aircraft operator	2019

#### DEPLOYMENT APPLICABILITY

#### **Operational conditions:**

This element is applicable in emergency situations.

#### Main intended benefits:

Туре	Operational description	Benefitting stake	eholde	r(s)
Direct benefits	Faster and more effective response to emergency situations	Aircraft operator	RCC	SAR authority

KPA Focus Areas	Most specific performance objective(s) supported	KPI Impact	KPI
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GADS-B2/4	Flight Data Recovery	Operational
☐ Sixth edition of th	e GANP ②	
Main Purpose 2	To enable timely and efficiently recovery of inform	nation for accident investigation.
New Capabilities ?	Timely recovery and availability of information relecostly search operations to recover Flight Data F	evant for accident investigation. Avoiding long and Recorder.
Description 2	the aircraft will be equipped with a means, appro	In timely access to the flight recorder information, wed by the State of the aircraft operator, to recover timely manner. The requirements for approving the timely manner are detailed in ICAO Annex 6.
Maturity Level 2	Ready for implementation	
Human Factor Considerations		

#### PLANNING LAYERS ?

Post operations



#### DEPENDENCIES AND RELATIONS 2

Type of Dependencies ASBU Element

Relation-operational benefit GADS-B2/1 - Location of an aircraft in Distress

ENABLER	S				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	Search and rescue	Procedures regarding the operation of the system intended for timely recovery of flight recorder data	Description of the operator capabilities. Reference: Annex 6 – Location of an aircraft in distress and ICAO Doc 10054 Manual on Location of Aircraft in Distress and Flight Recorder Data Recovery	Aircraft operator	2021
Airborne system capability	Search and rescue	System intended for timely recovery of flight recorder data	Reference: ICAO Annex 6 – Location of an aircraft in distress and ICAO Doc 10054 Manual on Location of Aircraft in Distress and Flight Recorder Data Recovery	Aircraft operator	2025
Ground system infrastructur e	Search and rescue	C,N,S	No CNS needed for Automatic Deployable Flight Recorder. Satellite Link needed for flight recorder streaming before impact.	Aircraft operator	2025

#### **DEPLOYMENT APPLICABILITY**

#### **Operational conditions:**

This element is applicable in emergency situations.

#### Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)
Direct benefits	Timely retrieval flight recorder data	Aircraft operator  Accident Investigation Authority
	Improve AIA understanding of the flight environment pre the end of flight.	Accident Investigation Authority

KPI Most specific performance **KPA Focus Areas KPI Impact** objective(s) supported

# NAVS \_\_

### NAVS-B0/1 Ground Based Augmentation Systems (GBAS) Technology ☐ Sixth edition of the GANP ② Support Precision Approach and landing operations at a specific airport (one system may support all Main Purpose ? runway ends). As an option, may support arrival and departure phases of flight. New Capabilities • Category I performance using GBAS Approach Service Type C (GAST-C). • As an option, PBN in terminal area (RNAV 1 and RNP 1 operations) can be supported using GBAS positioning service. This element introduces improved accuracy, integrity and availability through a local airport based Description ? differential satellite navigation and monitoring system. A local network of reference receivers is deployed at or near an airport. Observations from these reference receivers are used to compute corrections for each satellite as well as to monitor for system integrity. The information is broadcast to users via a VHF Data Broadcast link (operating in the 108 to 118 MHz band). Maturity Level ? Ready for implementation **Human Factor** Considerations PLANNING LAYERS ? **OPERATIONS 2** Tactical-During ops Departure Arrival

### **DEPENDENCIES AND RELATIONS 3**

There are co	urrently no deper	ndencies.			
ENABLEF	RS				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year

Operational procedures	Navigation	GBAS Cat I Instrument flight procedures	Instrument flight procedures validated and published. Reference: ICAO Doc 4444 PANS Air Traffic Management; ICAO Doc 8168 PANS Aircraft operations; ICAO Doc 9849 Global Navigation Satellite System (GNSS) Manual; ICAO Doc 9365 Manual of All-Weather Operations; ICAO EUR Doc 013 European guidance material on All Weather Operations at Aerodromes and ICAO Doc 8071 Manual on Testing of Radio Navigation Aids.	ANSP Aircraft operator	2013
Airborne system capability	Navigation	GBAS receiver GAST C	GBAS receivers. Reference: ICAO Annex 10 Vol I technical requirements; RTCA/EUROCAE MOPS.	Aircraft manufacturer Aircraft operator	2013
Ground system infrastructur e	Navigation	GBAS ground stations GAST C	GBAS ground stations. Reference: ICAO Annex 10 Vol I; provisions supporting system certification/approval by the designated authority; RTCA/EUROCAE MOPS.	Airport operator  ANSP  Ground systems supplier	2013
Training	Navigation	Training requirements for GBAS for CAT I	Adaptation of aircrews due to ILS look alike concept implementation and procedures designers. Reference: States/Regions regulations.	Airport operator  ANSP  Aircraft operator	2013
Space system infrastructur e	Navigation	GNSS core constellation	Core constellation. Reference: documentation specific to each core constellation defining provisions supporting performance commitment.	Ground systems supplier Satellite provider	2013

NAVS-B0/2	Satellite Based Augmentation Systems (SBAS) Technology
☐ Sixth edition of the	e GANP 2
Main Purpose ?	Support PBN in all phases of flight with an increased accuracy, integrity and availability compared to ABAS. Increases accuracy and integrity for the vertical guidance.
New Capabilities   Output  Description:	Support all PBN navigation specifications, with a deployment emphasis over RNP APCH down to LPV or LP minima at 250 ft (APV I performance) or 200 ft /550 m (Category I performance)

Description ?

This element introduces improvements in the availability, accuracy and integrity of satellite navigation through a wide area differential satellite navigation position and integrity monitoring system. A network of ground reference systems is deployed in a region and connected via a data-network. Observations from the reference systems are used to monitor satellite signals and produce correction and integrity information which is then broadcast over a geostationary satellite link to aircraft. The LPV service volume is mainly determined by the distribution of the monitoring network, depending on the implementation, a wider service volume may be achieved supporting RNP 0.3 and RNP 0.1 performance.

Maturity Level ?

Ready for implementation

Human Factor
Considerations

PLANNING LAYERS ②

Tactical-During ops

OPERATIONS O

Departure En-route Arrival

#### DEPENDENCIES AND RELATIONS 3

There are currently no dependencies.

ENABLER	S				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	Navigation	SBAS instrument flight procedures	Procedures validated and published. Reference material: ICAO Doc 4444 PANS Air Traffic Management; ICAO Doc 8168 PANS Aircraft operations or United States Standards for Terminal Instrument Procedures (TERPS); ICAO Doc 9849 Global Navigation Satellite System (GNSS) Manual; ICAO Doc 9613 Performance-based Navigation (PBN) Manual and ICAO Doc 8071 Manual on Testing of Radio Navigation Aids.	ANSP Aircraft manufacturer Aircraft operator	2013
Airborne system capability	Navigation	SBAS receiver	SBAS receivers integrated with aircraft navigation systems. Reference: ICAO Annex 10 Vol I technical requirements; RTCA/EUROCAE MOPS.	Aircraft manufacturer Aircraft operator	2013

				SBAS service	0010
Ground system infrastructur e	Navigation	SBAS ground stations	Ground stations to provide a wide area monitoring network. No local airport infrastructure is required. However, a network of ground reference systems and a highly reliable data network with processing facilities and satellite signal generation capability is required. References: ICAO Annex 10 Vol I; document specific to each SBAS; provisions supporting system certification/approval by the designated authority and performance commitments.	provider	2013
Training	Navigation	Training requirements for SBAS	Adaptation of aircrews due to ILS look alike concept implementation and procedures designers. Reference: ICAO Doc 9613 Performance-based Navigation (PBN) Manual and States/Regions regulations.	ANSP	2013
				Aircraft manufacturer	
				Aircraft operator	
Space	Navigation	GNSS core	Core constellation. Reference:	Ground systems supplier	2013
system infrastructur		constellation	documentation specific to each core constellation defining provisions	Satellite provider	
е			supporting performance commitment.		
Space	Navigation	GNSS	These satellites broadcast the SBAS	SBAS service provider	2013
system		augmentation	messages to the different users.		
infrastructur		satellites	Reference: document specific to each		

NAVS-B0/3	Aircraft Based Augmentation Systems	ABAS)	Technology
☐ Sixth edition of th	e GANP 🕖		
Main Purpose 2	Support non-precision (LNAV) and vertion other terminal and enroute navigations.	cally guid	ed (LNAV/VNAV) approaches with BaroVNAV and
New Capabilities 2			as with the exception of RNP APCH down to are required to support RNP AR APCH.
Description 2	This element supports non-precision an navigation and barometric vertical guida		y guided approaches using GNSS lateral
Maturity Level    Output  Description:	Ready for implementation		
Human Factor Considerations			
PLANNING L	AYERS @	OPE	ERATIONS 2

#### DEPENDENCIES AND RELATIONS @

There are currently no dependencies.

ENABLER	S				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	Navigation	ABAS instrument flight procedures	ABAS instrument flight procedures validated and published. Reference: ICAO Doc 4444 PANS Air Traffic Management; ICAO Doc 8168 PANS Aircraft operations or United States Standard for Terminal Instrument Procedures (TERPS); ICAO Doc 9849 Global Navigation Satellite System (GNSS) Manual; ICAO Doc 9613 Performance-based Navigation (PBN) Manual and ICAO Doc 8071 Manual on Testing of Radio Navigation Aids.	ANSP Aircraft operator	2013
Airborne system capability	Navigation	ABAS avionics	ABAS without Baro-VNAV capability to support non-precision (LNAV) or with Baro-VNAV capability to support vertically guided (LNAV/VNAV) approaches. ABAS is capable of supporting other phase of flight such as oceanic, en-route and terminal operations. Reference: ICAO Annex 10 Volume I and ICAO Doc 9613 Performance-based Navigation (PBN) Manual for technical requirements; RTCA/EUROCAE MOPS.	Airport operator Aircraft manufacturer	2013
Space system infrastructur e	Navigation	GNSS core constellation	Core constellation. Reference: documentation specific to each core constellation defining provisions supporting performance commitment.	Ground systems supplier Satellite provider	2013
Training	Navigation	Training requirements for ABAS	Pilot training requirements for RNP APCH and procedure designers. Specific training required for RNP AR APCH.	ANSP Aircraft operator	2013

NAVS-B0/4

Navigation Minimal Operating Networks (Nav. MON)

Technology

☐ Sixth edition of the GANP ②

Main Purpose

- To adjust conventional navaids networks through the increased deployment of satellite based navigation systems and procedures to ensure the necessary levels of resilience for navigation.
- To provide a minimum level of capabilities to accommodate State aircraft operations where there is a mismatch in terms of aircraft equipage.
- To make a more efficient use of the frequency spectrum

New Capabilities Provision of a navigation backup.

Description ?

This element allows the rationalization of the ground based conventional infrastructure through the definition of minimal networks of ground navaids. Consultations and agreements from airspace users and aircraft operators are required to define this element.

The MON should be revisited with the introduction of new navigation capabilities.

Maturity Level Ready for implementation

Human Factor
Considerations

#### PLANNING LAYERS ②

Tactical-During ops



#### DEPENDENCIES AND RELATIONS ②

There are currently no dependencies.

ENABLER	S				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Other	Navigation	Plan for minimal operating networks (MON).	Airspace users consultation, dedicated studies and safety cases are required to mitigate the withdrawal of existing ground networks. References: ICAO Regional Air Navigation Plans	ANSP CAA  Aircraft operator	2013

# NAVS-B1/1 Extended GBAS Technology Sixth edition of the GANP Main Purpose To support precision approach and landing operations at a specific airport (one system may support all runway ends). As an option, may support arrival and departure phases of flight

- New Capabilities 
   CAT II operations utilizing GBAS Approach Service Type C (GAST C) in conjunction with enhanced ionospheric monitoring and airplane augmentations.
  - Category II/III operations supported by GBAS Approach Service Type D (GAST D).
  - Enhanced VHF Data Broadcast (VDB) airborne equipment performance to support interoperability of VDB, ILS and VOR in the 108 - 118 MHz band.

Description ?

This element introduces improved accuracy, integrity and availability through a local airport based differential satellite navigation and monitoring system. A local network of reference receivers is deployed at or near an airport. Observations from these reference receivers are used to compute corrections for each satellite as well as to monitor for system integrity. The information is broadcast to users via a VHF Data Broadcast link (operating in the 108 to 118 MHz band). This element extends the capability of Block 0 by adding improved ionospheric error monitoring and mitigation as well as enhanced VDB receiver performance to support interoperability and coexistence of ILS, VOR and VDB at any airport.

Maturity Level ?

Standardization

**Human Factor** Considerations

#### PLANNING LAYERS ?

Tactical-During ops



Departure Arrival

#### DEPENDENCIES AND RELATIONS @

There are currently no dependencies.

ENABLER	S				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Space	Navigation	GNSS core	Core constellation. Reference:	Ground systems supplier	2013
system infrastructur e		constellation	documentation specific to each core constellation defining provisions supporting performance commitment.	Satellite provider	
Airborne	Navigation	GBAS receiver	GBAS receivers. Reference: ICAO Annex	Aircraft manufacturer	2019
system capability	, tangaton	GASTD	10 Vol I technical requirements; RTCA/EUROCAE MOPS.	Aircraft operator	
Ground	Navigation	GBAS ground	GBAS ground stations. Reference: ICAO	Airport operator	2019
system		stations GAST D	Annex 10 Vol I; provisions supporting	ANSP	
infrastructur e		system certification/approval by the designated authority; RTCA/EUROCAE MOPS.	Ground systems supplier		

Operational Operations GBAS Cat II/III Instrument flight procedures validated and **ANSP** 2019 procedures Instrument flight published. Reference: ICAO Doc 4444 Aircraft operator PANS Air Traffic Management; ICAO Doc procedures 8168 PANS Aircraft operations; ICAO Doc 9849 Global Navigation Satellite System (GNSS) Manual; ICAO Doc 9365 Manual of All-Weather Operations; ICAO EUR Doc 013 European guidance material on All Weather Operations at Aerodromes and ICAO Doc 8071 Manual on Testing of Radio Navigation Aids. **Training** Training Adaptation of aircrews due to ILS look Airport operator 2019 requirements for alike concept implementation and ANSP GBAS for CAT procedures designers. Reference: Aircraft 11/111 States/Regions regulations. operator

NAVS-B2/1 Dual Frequency Multi Constellation (DF MC) Technology **GBAS** ☐ Sixth edition of the GANP ② More robust and less vulnerable to atmospheric propagation perturbations, supports Cat I,II, III Main Purpose ? GBAS landing operations in all regions of the world. New Capabilities GBAS provide dual frequency and multi constellation additional augmentation information. This element builds upon the basic GBAS systems introduced within Block 1. Description ? New core constellations and signals: (e.g. Beidou, Galileo) or dual frequencies such as GPS, GLONASS will enhance satellite based navigation services through GBAS. DF MC GBAS will provide additional navigation augmentation information. Maturity Level ? Validation **Human Factor** Considerations PLANNING LAYERS ? **OPERATIONS 2** Tactical-During ops Departure Arrival



ENABLERS							
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year		
Space system infrastructur e	Navigation	New core constellations	New satellite constellations (Beidou, Galileo) or dual frequencies such as GPS or GLONASS provide opportunities to enhance satellite based navigation services by increasing performance and robustness to failures.	Satellite provider	2019		
Operational procedures	Navigation	DF MC GBAS Cat I, II, III Instrument flight procedures	New core constellations (Beidou, Galileo) and signals (GPS, GLONASS) will provide opportunities to enhance satellite based navigation services through GBAS. New signals/constellations are expected to support existing procedures with an increased performance/robustness, therefore, additional procedures might be validated and published. Reference: ICAO Doc 4444 PANS Air Traffic Management; ICAO Doc 8168 PANS Aircraft operations; ICAO Doc 9849 Global Navigation Satellite System (GNSS) Manual; ICAO Doc 9365 Manual of All-Weather Operations; ICAO EUR Doc 013 European guidance material on All Weather Operations at Aerodromes and ICAO Doc 8071 Manual on Testing of Radio Navigation Aids.	ANSP Aircraft operator	2025		
Airborne system capability	Navigation	DFMC GBAS receiver	New core constellations (Beidou, Galileo) and signals (GPS, GLONASS) will provide opportunities to enhance satellite based navigation services through GBAS. Dual Frequency multi constellation (DFMC) GBAS receivers. Reference: ICAO Annex 10 Vol I technical requirements; RTCA/EUROCAE MOPS.	Aircraft manufacturer Aircraft operator	2025		
Ground system infrastructur e	Navigation	DFMC GBAS ground stations	New core constellations (Beidou, Galileo) and signals (GPS, GLONASS) will provide opportunities to enhance satellite based navigation services through GBAS. DFMC GBAS ground stations at the airport are required. Reference: ICAO Annex 10 Vol I; provisions supporting system certification/approval by the designated authority; RTCA/EUROCAE MOPS.	ANSP  Ground systems supplier	2025		



Tactical-During ops



#### DEPENDENCIES AND RELATIONS @

Type of Dependencies **ASBU Element** 

NAVS-B0/2 - Satellite Based Augmentation Systems (SBAS) **Evolution** 

#### **ENABLERS**

Enabler **Description / References** Stakeholders **Enabler Type Enabler Name** Year Category

Operational procedures	Navigation	Enhanced SBAS instrument flight procedures	New core constellations (Beidou, Galileo) and signals (GPS, GLONASS) will provide opportunities to enhance satellite based navigation services through SBAS. New signals/constellations are expected to support existing procedures with an increased performance/robustness, therefore, additional procedures might be validated and published. Reference material: ICAO Doc 4444 PANS Air Traffic Management; ICAO Doc 8168 PANS Aircraft operations or United States Standards for Terminal Instrument Procedures (TERPS); ICAO Doc 9849 Global Navigation Satellite System (GNSS) Manual; ICAO Doc 9613 Performance-based Navigation (PBN) Manual and ICAO Doc 8071 Manual on Testing of Radio Navigation Aids.	ANSP  Aircraft manufacturer  Aircraft operator	2025
Airborne system capability	Navigation	DFMC SBAS receiver	New core constellations (Beidou, Galileo) and signals (GPS, GLONASS) will provide opportunities to enhance satellite based navigation services through SBAS. Dual Frequency multi constellation (DFMC) SBAS receivers integrated with aircraft navigation systems. Reference: ICAO Annex 10 Vol I technical requirements; RTCA/EUROCAE MOPS.	Aircraft manufacturer Aircraft operator	2025
Ground system infrastructur e	Navigation	DFMC SBAS ground stations	New core constellations (Beidou, Galileo) and signals (GPS, GLONASS) will provide opportunities to enhance satellite based navigation services through SBAS. Ground stations will provide a wide area monitoring network. No local airport infrastructure is required. However, a network of ground reference systems and a highly reliable data network with processing facilities and satellite signal generation capability is required. References: ICAO Annex 10 Vol I; document specific to each SBAS; provisions supporting system certification/approval by the designated authority and performance commitments.	SBAS service provider	2025
Space system infrastructur e	Navigation	New core constellations	New satellite constellations (Beidou, Galileo) or dual frequencies such as GPS or GLONASS provide opportunities to enhance satellite based navigation services by increasing performance and robustness to failures.	Satellite provider	2019

Space system infrastructur Navigation G

GNSS DFMC augmentation satellites

These satellites broadcast the DFMC SBAS messages to the different users. Reference: document specific to each SBAS provider

SBAS service provider

2025

NAVS-B2/3 Dual Frequency Multi Constellation (DF MC) Technology ABAS

Sixth edition of the GANP ?

Main Purpose More robust navigation services (in particular versus loss of a single frequency, or of a single constellation).

New Capabilities ABAS algorithms use dual frequency and multi constellation additional signals. Only horizontal (2D) enhanced ABAS navigation services are expected within Block 2. Vertical (3D) enhanced ABAS navigation services will be introduced within Block 3.

Description This element builds upon the basic ABAS systems introduced within Block 0.

New core constellations and signals: (e.g. Beidou, Galileo) or dual frequencies such as GPS, GLONASS will enhance satellite based navigation services through ABAS.

DF MC ABAS will use additional navigation signals and new integrity algorithmes (A-RAIM).

Maturity Level Validation

Human Factor
Considerations

#### PLANNING LAYERS ②

Tactical-During ops

OPERATIONS 2

Departure En-route Arrival

#### DEPENDENCIES AND RELATIONS 2

Type of Dependencies ASBU Element

Evolution NAVS-B0/3 - Aircraft Based Augmentation Systems (ABAS)

**ENABLERS** 

Category

Enabler Type Enabler Name Description / References Stakeholders Year

Space system infrastructur e	Navigation	New core constellations	New satellite constellations (Beidou, Galileo) or dual frequencies such as GPS or GLONASS provide opportunities to enhance satellite based navigation services by increasing performance and robustness to failures.	Satellite provider	2019
Training	Navigation	Training requirements for enhanced ABAS	In principle no additional training than for NAV-B0/3 will be required. Reference: Pilot training requirements for RNP APCH and procedure designers. Specific training required for RNP AR APCH.	ANSP Aircraft operator	2025
Operational procedures	Navigation	Enhanced ABAS instrument flight procedures	New core constellations (Beidou, Galileo) and signals (GPS, GLONASS) will provide opportunities to enhance satellite based navigation services through ABAS. New signals/constellations are expected to support existing procedures with an increased performance/robustness, therefore, additional procedures might be validated and published. Reference: ICAO Doc 4444 PANS Air Traffic Management; ICAO Doc 8168 PANS Aircraft operations or United States Standard for Terminal Instrument Procedures (TERPS); ICAO Doc 9849 Global Navigation Satellite System (GNSS) Manual; ICAO Doc 9613 Performance-based Navigation (PBN) Manual and ICAO Doc 8071 Manual on Testing of Radio Navigation Aids	ANSP Aircraft operator	2025
Airborne system capability	Navigation	DFMC ABAS receiver	DFMC ABAS receiver integrated with aircraft navigation systems. Reference: ICAO Annex 10 Volume I technical requirements; RTCA/EUROCAE MOPS.	Aircraft manufacturer Aircraft operator	2025

# NOPS

# NOPS-B0/1 Initial integration of collaborative airspace Operational management with air traffic flow management Sixth edition of the GANP Introduce ASM/ATFM techniques, procedures and tools for the initial establishment of an integrated collaborative airspace management and air traffic flow and capacity management process applicable to the strategic through to the tactical phases of operations.

New Capabilities Collaborative airspace planning process is extended by harmonizing the ASM/ATFM rules and procedures for the establishment, allocation and use of airspace structures in response to ATFM requirements.

#### Description ?

This element represents the initial step to enhancing the common situational awareness supporting optimum availability of airspace and ATC capacity to meet air traffic demands. It will result in a dynamic/rolling process supporting the enhancement of network operations. It will improve the cross border operations and optimise network operations based on the richest and more accurate information. It requires the implementation of new tools/systems and processes notably:

- ASM/ATFM process for the provision of the airspace use plan;
- Improved ASM/ATFM process for the provision of updated airspace use plan;
- System/tools for provision of airspace plan to ATM network function;
- Improved notification process for the ASM/ATFM purposes;
- · Improved accuracy of airspace booking;
- Interoperability between local ASM and ATFM systems.

#### 

Ready for implementation

#### **Human Factor** Considerations

1. Does it imply a change in task by a user or affected others? Yes

Coordination between different airspace planning actors is more efficient and the need for paper/phone coordination is minimised.

- 2. Does it imply processing of new information by the user? Yes Integrated airspace planning implies an utilisation of new data stream.
- 3. Does it imply the use of new equipment? Yes

ASM tool.

4. Does it imply a change to levels of automation? Yes

The manual process of airspace notification is semi-automated.

#### PLANNING LAYERS ?

Strategical Pre-tactical Tactical-Pre ops

Tactical-During ops

**OPERATIONS ?** 

Departure En-route Arrival

#### DEPENDENCIES AND RELATIONS @

Type of Dependencies	ASBU Element
Relation-information need	AMET-B0/1 - Meteorological observations products
Relation-operational need	FRTO-B0/2 - Airspace planning and Flexible Use of Airspace (FUA)

#### **ENABLERS**

Enabler Stakeholders **Enabler Type Enabler Name Description / References** Year Category

Operational procedures	-	Procedures for dynamic co- operative management of the airspace	Develop the ASM/ATFM procedures related to dynamic co-operative management of the airspace (improved ASM/ATFM process via e.g. Airspace Use Plan/Updated airspace Use Plan). Reference: ICAO Doc 9971 Manual on Collaborative ATFM.	ANSP ATM network function	2013
Operational procedures	-	Procedures for improved notification process	Improved ASM/ATFM notification process. References: ICAO Doc 9971 Manual on Collaborative ATFM	ANSP ATM network function	2013
Operational procedures	-	Procedures for released of reserved airspace	Develop the ASM/ATFM procedures to identify and release previously reserved airspace. References: Doc 9971 Manual on Collaborative ATFM	ANSP ATM network function	2013
Operational procedures	-	Procedures for promulgation and notification of receipt	Develop the ASM/ATFM procedure for promulgation and notification of receipt of ASM data- Doc 9971 Manual on Collaborative ATFM	ANSP ATM network function	2013
Ground system infrastructur e	ATM systems	Distribution of planned airspace usage information	Enhance the Airspace Management System and ATFM systems to distribute planned airspace usage information.	ANSP ATM network function	2013
Ground system infrastructure	ATM systems	Integrated airspace planning process	Upgrade the Airspace Management System and ATFM system to support an integrated airspace planning process	ANSP ATM network function	2013
Ground system infrastructur e	ATM systems	Pre-tactical scenario management	Enhancements of Scenario management sub-system equipped with function to support pre-tactical CDM	ANSP ATM network function	2013
Ground system infrastructur e	ATM systems	Airspace status information	Upgrade the Airspace Management Systems to provide airspace status information	ANSP ATM network function Aircraft operator	2013
Ground system infrastructur e	ATM systems	ATFM systems interoperability with ASM system	Interoperability of ATFM systems for ASM zone shapes and timing with local ASM tools	ANSP ATM network function	2013
Ground system infrastructure	ATM systems	Reception of planned and actual airspace status	Enhance to ASM/ATFCM tools to receive information on planned and actual airspace status and support decision-making based on this information	Aircraft operator	2013

Training	-	Training	Collaborative Airspace management	ANSP	2013
		requirements for initial integration	training. Training on new procedures and tools.	ATM network function	
		of collaborative ASM with ATFM		Aircraft operator	
		AOW WILL ATT W			

# DEPLOYMENT APPLICABILITY

#### **Operational conditions:**

This element should be undertaken by all ANSPs, AUs and the ATM Network function affecting both en-route and TMA operations.

#### Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)
	Capacity. Flight delays will be reduced through better utilization of airspace resources within and across airspace boundaries.	ANSP Airspace user  ATM network function
Direct benefits	Flight efficiency increased through the chance to plan more optimum routes/trajectories allowing lower fuel burn.	Airspace user
	Improve situational awareness of network manager	ATM network function
Indirect benefits	Safety. Better knowledge of traffic environment, common situational awareness, and some enhancement through reduction in controller workload.	ANSP Airspace user  ATM network function

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	КРІ
Efficiency	Flight time & distance	Facilitate tactical decisions leading to a shorter actual route than in the FPL	++	KPI05: Actual enroute extension
Efficiency	Flight time & distance	Overcome route selection inefficiencies associated with route & airspace availability as known at the flight planning stage	++	KPI04: Filed flight plan en-route extension
Efficiency	Flight time & distance	Reduce need for tactical ATFM rerouting to circumnavigate airspace closed at short notice	++	KPI05: Actual enroute extension

KPA	Focus Areas	Most specific performance objective(s) supported	KPI Impact	KPI
Efficiency	Vertical flight efficiency	Reduce altitude restrictions during climb introduced to avoid airspace above	++	KPI17: Level-off during climb
Efficiency	Vertical flight efficiency	Reduce altitude restrictions during cruise introduced to avoid airspace above	++	KPI18: Level capping during cruise
Efficiency	Vertical flight efficiency	Reduce altitude restrictions during descent to avoid Special Use Airspace	++	KPI19: Level-off during descent

NOPS-B0/2	Collaborative Network Flight Updates Operational
☐ Sixth edition of the	e GANP O
Main Purpose	Improve ATFM situation awareness in order to facilitate re-routings and coordinated application of ATFM measures.
New Capabilities 2	Seamless exchange and processing of correlated position information, flight activation status and up to date flight plan information for airborne flights. Such data are required within the Area of Responsibility (AOR) of the ATFM unit, but also within the Area of Interest (AOI) of the ATFM unit for all flights entering the ATFM area.
Description 2	This element will ensure:
	<ul> <li>Effective interface between ATC and ATFM with regard to deviations from the current flight plan.</li> <li>Enhanced tactical flow management service based on real-time aircraft position data and flight activation information resulting to more accurate ATFM measures and thus better use of scarce airspace resources.</li> </ul>
	It will require implementation ATFM/ATC systems related to provision, processing and presentation of ATFM messages.
Maturity Level	Ready for implementation
Human Factor Considerations	Does it imply a change in task by a user or affected others? Yes  Manual notification disappeared.
	2. Does it imply processing of new information by the user? Yes
	ATFM message, CPRs and flight plan proposals are new items that were not previously exchanged.
	3. Does it imply the use of new equipment? No
	4. Does it imply a change to levels of automation? No

#### PLANNING LAYERS ?

Tactical-During ops



#### DEPENDENCIES AND RELATIONS @

Type of Dependencies ASBU Element

Relation-information need AMET-B0/2 - Meteorological forecast and warning products

ENABLERS	5				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	-	Network Planning procedures	Develop the ATFM procedures to incorporate information received from multiple sources into the Network Planning Doc 9971 Manual on Collaborative ATFM	ATM network function	2008
Operational procedures	-	Procedures for updated flight plan information	Develop the ATFM/ATC procedures for provision of updated flight plan information Doc 9971 Manual on Collaborative ATFM	ATM network function	2008
Ground system infrastructure	ATM systems	Correlated Position Reports	Upgrade of ATFM/ATC system related to the provision and reception of correlated position reports for airborne flights.	ANSP ATM network function	2008
Ground system infrastructur e	ATM systems	ATFM message exchanges	Enhancement of ATFM/ATC system related to the provision and processing of ATFM messages.	ANSP ATM network function	2008
Ground system infrastructur e	ATM systems	Flight activation messages	Upgrade of ATFM/ATC system related to the flight activation.	ANSP ATM network function	2008
Ground system infrastructure	ATM systems	Updated flight plan info	Upgrade the ATFM/ATC system for handling of flight plan info for airborne flights.	ANSP ATM network function	2008

#### **DEPLOYMENT APPLICABILITY**

#### **Operational conditions:**

This element will involve all ANSPs, AUs and the ATM Network Function for the collaborative updates of the flight status within an ATFM area. This will enhance predictability and better utilisation of available capacity.

#### Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)
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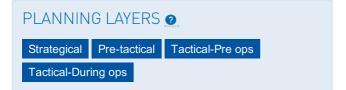
Туре	Operational description	Benefitting stakeholder(s)
Direct benefits	Capacity. Better use of the available network capacity hence reducing delays.	ANSP ATM network function
	Predictability	ANSP Airspace user  ATM network function
	Improve situational awareness of network manager.	ATM network function
Indirect benefits	Safety. Prevention of ATCO overload.	ANSP

KPA	Focus Areas	Most specific performance objective(s) supported	KPI Impact	KPI
Capacity	Capacity shortfall & associated delay	Ensure that the measures applied are absolutely necessary and that unnecessary measures are avoided	++	
Capacity	Capacity shortfall & associated delay	Establish/improve the capability to use opportunities to mitigate disturbances, originating from:  More precise surveillance data	++	

NOPS-B0/3	Network Operation Planning basic features Operational
☐ Sixth edition of the	e GANP ②
Main Purpose 2	The Network Operation Planning provides an overview of the situation from strategic planning through real time operations with ever increasing accuracy up to and including the day of operations by a common situational awareness for all ATFM actors within and adjacent to the ATFM area and allowing network wide demand and capacity balancing.
New Capabilities 2	A Network Operations Plan will be accessible online by stakeholders for consultation and update as needed.
Description	Network Operation Planning is based on enhanced participation in a dynamically updated collaborative planning process. This requires the sharing of the latest flight status and intentions; airport and airspace component, associated demand and capacity balancing measures in a frequently updated plan which is aimed to be realised as target by all actors. The elements and formats of the plan need to be established and harmonized, taking into account the requirements of the users of these plans. It will be possible for them to access and extract data for selected areas to support their operation and, if required, to create their specific operations plan.
Maturity Level 2	Ready for implementation

#### Human Factor Considerations

- 1. Does it imply a change in task by a user or affected others? Yes
- 2. Does it imply processing of new information by the user? Yes
- 3. Does it imply the use of new equipment? Yes
- 4. Does it imply a change to levels of automation? Yes





DEPENDENCIES AND RELATIONS 2				
Type of Dependencies	ASBU Element			
Relation-information need	AMET-B0/2 - Meteorological forecast and warning products			
Relation-information need	AMET-B0/3 - Climatological and historical meteorological products			

ENABLER	S				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	-	Tactical changes procedures	Develop the ATFM procedures to modify the Network operations planning in real- time in response to tactical changes to trajectories and airport/airspace capacities References: ICAO Doc 9971 Manual on Collaborative ATFM	ATM network function	2012
Operational procedures	-	Capacity balancing procedures	Develop the ATFM procedures for systematically incorporating changes to capacity balance as revised information enables the updating of the Network operations plan. References: ICAO Doc 9971 Manual on Collaborative ATFM	Airport operator  ANSP  ATM network function  Aircraft operator	2012
Operational procedures	-	Coordination procedures	Develop the ATFM procedures for coordinating refined plans between ANSP, Airspace Users and Airport Operators.	Airport operator  ANSP  ATM network function  Aircraft operator	2012
Operational procedures	-	Network Operation Planning procedures	Develop the ATFM procedures for on-line collaborative determination access/update to the Network Operation Planning and notification of updates.	Airport operator  ANSP  ATM network function  Aircraft operator	2013

Operational procedures	-	Predefined scenario management	Develop the ATFM procedures for identifying the appropriate scenario from the catalogue of scenarios through collaborative decision making and initiating its implementation. References: ICAO Doc 9971 Manual on Collaborative ATFM	ATM network function	2013
Operational procedures	-	Dynamic sectorization procedures	Develop the ATFM procedures for initiating dynamic sectorization responses in collaboration with the ANSPs. References: ICAO Doc 9971 Manual on Collaborative ATFM	ATM network function	2013
Ground system infrastructur e	ATM systems	Exchange of ATFM related data	Tool for exchange, presentation, visualization and update of ATFM related data.	Airport operator  ANSP  ATM network function  Aircraft operator	2013
Ground system infrastructure	ATM systems	Capacity planning	Upgrade the capacity planning and scenario management with sector management tool to assist ANSPs in defining sector configurations.	ATM network function	2013
Training	-	Training requirements for network operation planning basic features	Network Operation Planning (basic features) training. Training on new procedures and tools	Airport operator  ANSP  ATM network function  Aircraft operator	2013

#### **DEPLOYMENT APPLICABILITY**

#### **Operational conditions:**

The Network Operation Planning will involve all the operational stakeholders providing an overview of the situation from strategic planning to real time operations with ever increasing accuracy optimising the efficiency of the ATM system while balancing demand with capacity.

#### Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)
	Capacity.	Airport operator ANSP Airspace user  ATM network function
Direct benefits	Predictability.	Airport operator ANSP Airspace user  ATM network function
	Improve situational awareness of stakeholders.	Airport operator ANSP Airspace user  ATM network function

Indirect benefits  Safety by planning scarce resources in the  ANSP	Туре	Operational description	Benefitting stakeholder(s)
most optimum way.	Indirect benefits	Safety by planning scarce resources in the most optimum way.	ANSP

#### INTENDED PERFORMANCE IMPACT ON SPECIFIC KPAS AND KPIS

KPA Focus Areas Most specific performance objective(s) supported KPI Impact KPI

NOPS-B0/4 Initial Airport/ATFM slots and A-CDM Network Operational Interface

☐ Sixth edition of the GANP ②

Main Purpose Initial integration of airports into the ATM network function.

New Capabilities **3** Stakeholders will be able to share relevant airport and flight turnaround information with ATM network function resulting in better predictability and better use of existing capacity whilst considering user preferences and requirements.

Description The first objective is the A-CDM (Airport Collaborative Decision Making) integration with ATFM via exchanges of specific messages. The second objective is to ensure ATFM slot adherence and limited ATFM slot swapping in order to meet airline demands in line with capacity declarations.

Convergence is ensured between airport slots, and flight plans, together with airport slot monitoring processes in order to improve consistency. That will require the deployment of new systems and processes for A-CDM and ATFM slot swapping:

- ATFM and airports system modules related to data exchanges for A-CDM
- Tools for airport and ATFM slot monitoring post-ops

Maturity Level ? Ready for implementation

Human Factor Considerations 1. Does it imply a change in task by a user or affected others? Yes

Phone coordination is reduced.

2. Does it imply processing of new information by the user? Yes

ATFM data presentation and scenario management are new data streams.

3. Does it imply the use of new equipment? Yes

Network Operation planning is a new tool, it might require specialised equipment for data access.

4. Does it imply a change to levels of automation? Yes

Manual process is semi-automated.

DEPENDENCIES AND RELATIONS			
Type of Dependencies	ASBU Element		
Relation-operational need	ACDM-B0/1 - Airport CDM Information Sharing (ACIS)		
Relation-operational need	ACDM-B0/2 - Integration with ATM Network function		

ENABLERS	5				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Yea
Operational procedures	-	Airport slot procedure	Develop procedures for the collection of the Airport slots. References: ICAO Doc 9971 Manual on Collaborative ATFM	ATM network function  Aircraft operator	201
Operational procedures	-	ATFM A-CDM procedure	Develop the ATFM Collaborative procedures for improving Airport Operations in Adverse Conditions. References: ICAO Doc 9971 Manual on Collaborative ATFM	Airport operator  ANSP  ATM network function  Aircraft operator	2012
Ground system infrastructur e	ATM systems	Airport Slot Monitoring Tool	Tools supporting Airport Slot Monitoring post ops.	Airport operator ATM network function Aircraft operator	201
Ground system infrastructur e	ATM systems	Departure planning estimate	Enhance the ATFM system for provision of planned departure information. Enhance the ATC and airport systems for reception and processing of planned departure information.	Airport operator  ANSP  ATM network function	201
Ground system infrastructure	ATM systems	Flight data for airborne flights	Enhance the ATFM system for provision of real time flight data for airborne flights.  Enhance the ATC and airport systems for reception and processing of real time flight data for airborne flights	Airport operator  ANSP  ATM network function	201

#### **DEPLOYMENT APPLICABILITY**

#### **Operational conditions:**

It concerns airports which have implemented A-CDM. The integration of airport planning with ATFM will involve all respective stakeholders in a collaborative decision facilitating slot adherence and some AUs preferences (limited slot swapping).

#### Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)

Туре	Operational description	Benefitting stakeholder(s)
	Capacity.	Airport operator ANSP Airspace user  ATM network function
Direct benefits	Predictability.	Airport operator ANSP Airspace user  ATM network function
	Improve situational awareness of network manager.	ATM network function
Indirect benefits  Airspace users specific preferences /efficiency criteria of operations.		Airspace user

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	KPI
Capacity	Capacity shortfall & associated delay	For a given airspace entry slot: let airspace users swap the slot to another flight (slot substitution or UDPP – User Driven Prioritisation Process)	++	

NOPS-B0/5	Dynamic ATFM slot allocation Operational
☐ Sixth edition of th	e GANP 🕝
Main Purpose	Provision of dynamic departure ATFM slot allocation including Calculated Take-off Time (CTOT) for regulated flights to avoid ATFM congestions.
New Capabilities ?	ATM network function to provide the departure ATFM slots, including CTOT for regulated flight to all concerned operational stakeholders. ANSPs/ Airport/ AU to be capable to receive and process CTOT and update Estimated Take-off Time (EOBT) in accordance with the agreed operational procedures.
Description	The CTOT is defined as a time at which the aircraft shall take-off. CTOT is sent to AU / ATS when a flight becomes regulated (e.g. new flight entering the system, new period of regulation in the system, change of runway in use) at a system parameter time before the last received EOBT. AU/ATS/Airport need to adhere with the CTOT. The calculation of take-off times takes into account the off-block times and an average taxiing time for the runway in use at the airfield concerned.
Maturity Level ?	Ready for implementation

#### Human Factor Considerations

1. Does it imply a change in task by a user or affected others? Yes

Constrains need to be handled.

2. Does it imply processing of new information by the user? Yes

CTOT and DPI are new items.

- 3. Does it imply the use of new equipment? No
- 4. Does it imply a change to levels of automation? Yes

Instead being active user, only monitoring of data exchange and reacting in abnormal situations.

#### PLANNING LAYERS ②

Tactical-Pre ops

#### OPERATIONS ?

Departure

#### DEPENDENCIES AND RELATIONS @

There are currently no dependencies.

#### **ENABLERS**

ENABLER	3				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational - procedures	-	Slot revision	Develop the ATFM procedures for slot	Airport operator	2000
	procedures	revision. References: ICAO Doc 9971	ANSP		
			Manual on Collaborative ATFM	ATM network function	
				Aircraft operator	
Ground ATM system		systems CTOT	System upgrade for provision, exchange	Airport operator	2000
system infrastructure			and processing of CTOT	ANSP	
				ATM network function	
				Aircraft operator	

#### **DEPLOYMENT APPLICABILITY**

#### **Operational conditions:**

It concerns airports integrated in ATFM. All operational stakeholders participate in smoothing traffic flows facilitating ATFM slot adherence.

#### Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)
	Predictability.	Airport operator ANSP Airspace user  ATM network function
	Fuel consumption.	Airspace user

Direct benefits Type	Operational description	Benefitting stakeholder(s)
	ATFM delays.	Airport operator ANSP Airspace user  ATM network function

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	KPI
Capacity	Capacity shortfall & associated delay	Implement TMIs to delay take-off times	++	KPI07: En-route ATFM delay
Capacity	Capacity shortfall & associated delay	Use ATFM oriented flow management: delay push-back of inbound traffic	++	

NOPS-B1/1	Short Term ATFM measures	Operational		
☐ Sixth edition of the GANP ②				
Main Purpose ?	Short Term ATFM Measures (STAM) intends to smooth sector workloads by reducing traffic peaks through short-term applications of minor ground delays, appropriate flight level capping, timing and modalities of ATC re-sectorisation These measures are capable of reducing the traffic complexity for ATC with minimum curtailing impact on the airspace users.			
New Capabilities 2	Stakeholders can optimize capacity throughput by adopting and improving the tactical capacity management procedures with the use of STAM.			

#### Description ?

The rigid application of ATFM measures based on standard capacity thresholds as the predominant tactical capacity measure needs to be replaced by a close working relationship between ANSP, AU and ATM Network function, which monitors both the real demand and the effective capacity of sectors having taken into account the complexity of expected traffic situation.

In order to close the gap between ATC and ATFM, new tools and local operational procedures need to be developed. The aim is to improve the efficiency of the system using flow management techniques close to the real time operations with direct impact on tactical capacity management and tactical action on traffic.

The target of the Short Term ATFM Measures is to replace en-route measures for situations where the capacity is nominal. These measures are capable of reducing the traffic complexity for ATC with minimum constraints for the airspace users. STAM tools and procedures are based on accurate short-term occupancy counts. The tactical capacity management procedures can be supported by the ATFM Tools (system based STAM with the hot-spot detections in the network view, the "what-if" function and capabilities of promulgation and implementation of STAM measures, including CDM). This will require the introduction of:

- Pre-tactical and Tactical Demand Capacity Balancing (DCB) evaluation tools;
- DCB tool based on occupancy counts;
- Enhanced monitoring techniques;
- DCB Coordination tools:
- DCB What-if function;
- DCB Network impact assessment;
- ATFM procedures to enable application of flow management closer to real time.

#### Maturity Level

Standardization

#### Human Factor Considerations

1. Does it imply a change in task by a user or affected others? Yes

New task for all ATFM actors.

- 2. Does it imply processing of new information by the user? Yes
- STAM measures are new items.
- 3. Does it imply the use of new equipment? No
- 4. Does it imply a change to levels of automation? Yes

Reduced need for late and tactical interventions.

#### PLANNING LAYERS ?

Tactical-Pre ops



En-route Arrival

#### DEPENDENCIES AND RELATIONS ②

Type of Dependencies ASBU Element

Evolution NOPS-B0/3 - Network Operation Planning basic features

#### **FNABLERS**

Enabler Type Enabler Name Description / References

Stakeholders

Year

Operational procedures	-	DCB change procedure	Develop the ATFM procedures to respond to change of demand/ capacity balance. References: ICAO Doc 9971 Manual on	ANSP ATM network function	2014
			Collaborative ATFM	Aircraft operator	
Operational	-	DCB	Develop the ATFM procedures for	ATM network function	2014
procedures		optimisation procedure	demand/ capacity balance optimization. References: ICAO Doc 9971 Manual on Collaborative ATFM		
Operational	-	STAM	Develop the ATFM procedures to enable	ANSP	2019
procedures		procedures	application of flow management techniques on traffic streams closer to	ATM network function	
			real-time. References: ICAO Doc 9971 Manual on Collaborative ATFM	Aircraft operator	
Ground	ATM systems	Strategic DCB	Strategic and pre-tactical demand-	ATM network function	2014
system infrastructure		tools	capacity balancing evaluation, simulation and display tools.		
Ground	ATM systems	Routings and	Upgrade the Capacity planning and	ANSP	2014
	scenario management with tools to identify the most beneficial routings and	ATM network function			
			flows changes implemented within the	Aircraft operator	
			Collaborative Decision Making processes.		
Ground	ATM systems	Basic STAM tool	Demand capacity balancing tool base on	ANSP	2014
system infrastructur e			occupancy counts.	ATM network function	
Ground	ATM systems		Integration of ANSPs sector configuration	ANSP	2014
system infrastructure		configuration integration	into ATFM Systems	ATM network function	
Ground system infrastructur e	ATM systems	Enhanced STAM tool	Enhanced STAM tool (Coordination, what- if, network impact assessment).	ATM network function	2019
Ground system infrastructur e	ATM systems	Local STAM tool	Local tool and interface with ATFM tools	ANSP	2019
Training	-	Training	Staff training	ANSP	2014
		requirements for STAM		ATM network function	
				Aircraft operator	

#### **Operational conditions:**

It is applicable in areas where significant ATFM measures are required. This element will involve ANSPs as well AUs and the ATM Network function as required in a collaborative manner, during tactical planning in applying demand and capacity balancing actions for a limited time and affecting selected flights.

#### Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)
Direct benefits	Capacity. Effective capacity is globally optimized thanks to the application of certain techniques affecting only selected flights.	ANSP Airspace user ATM network function
Indirect benefits	Safety. Small enhancement through the resolution of some conflicts through STAM measures, Predictability	ANSP Airspace user  ATM network function

#### INTENDED PERFORMANCE IMPACT ON SPECIFIC KPAS AND KPIS

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	KPI
Capacity	Capacity shortfall & associated delay	Establish/improve the capability to use opportunities to mitigate disturbances	++	
Capacity	Capacity shortfall & associated delay	TMI-based optimisation (only impacts traffic when a TMI or restriction is manually activated for one or more constraint satisfaction points)	++	

#### NOPS-B1/10 Collaborative Trajectory Options Program (CTOP) Operational

☐ Sixth edition of the GANP ②

Main Purpose ?

Collaborative Trajectory Options Programs are Traffic Management Initiatives (TMI) that allow ATFM to choose the best possible balance between ATFM dalay and rerouting by using airspace user provided Trajectory Option Sets (TOS) to mitigate the operational impact of weather or traffic demand airspace constraints.

New Capabilities 
ATFM has the capability to receive and process Trajectory Option Sets (TOS) provided by airspace users. These are ranked trajectories that represent the operator's preference for the trade-off

between receiving ATFM delay and routing around airspace constraints.

When there is an airspace constraint, ATFM has the flexibility to use the trajectory options provided by all participating operators to optimize the choice between accepting a subset of the flights to use the available airspace capacity, applying ATFM delay to others, and rerouting the remaining traffic around the constraint.

Finally, ATFM has the capability to electronically notify the participating airspace users of the chosen trajectory that they are expected to fly.

Description CTOP works as follows:

- 1. ATFM creates an airspace boundary and establishes flow control on any air traffic that crosses that boundary.
- Airspace Users based on the notice of the airspace constraint develop and submit in advance of the issuance of the program, a set of desired reroute options (called a Trajectory Options Set or TOS) that is the operator's preference for routing around the constraint.
- 3. CTOP uses the preferred options to automatically assign delays or reroutes to flights in order to dynamically manage the demand as conditions change.

Human Factor Considerations

- 1. Does it imply a change in task by a user or affected others? Yes
- 2. Does it imply processing of new information by the user? Yes
- 3. Does it imply the use of new equipment? Yes
- 4. Does it imply a change to levels of automation? Yes





#### **DEPENDENCIES AND RELATIONS 3**

Type of Dependencies ASBU Element

Relation-operational need FRTO-B1/7 - Trajectory Options Set (TOS)

#### **ENABLERS** Stakeholders Enabler **Enabler Type** Enabler Name **Description / References** Year Category Regulatory Advisory CTOP advisory Guidance to customers how to manage CAA 2019 provisions circular circular flights in the constrained area Reference: FAA AC 90-115 Operational Operations Operational Operational guidelines on CTOP usage **ANSP** 2019 procedures procedures for Aircraft operator the use of CTOP

Ground system infrastructur e	ATM systems	Tools and system to support CTOP	Tools and systems in place at ANSP to support CTOP operations Reference: https://cdm.fly.faa.gov/?page_id=983	ANSP	2019
Ground system infrastructure	CFSP systems	CSFP to support	Tools and systems in place at AOs to support CTOP operations Reference: https://cdm.fly.faa.gov/?page_id=983	Aircraft operator	2019
Training	-	Training requirements for CTOP	ATM Training, Flight Dispatcher training Training is provided on how to use CTOP system to increase flight operation efficiency	ANSP Aircraft operator	2019

**Operational conditions:** 

Main intended benefits:

Type Operational description

Benefitting stakeholder(s)

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	KPI
Capacity	Capacity shortfall & associated delay	For a given flight: at flight plan filing time airspace users provide network management with a range of trajectory options and associated trade-off criteria, from which one solution is chosen (CTOP – Collaborative Trajectory Options Program)	++	KPI04: Filed flight plan en-route extension KPI07: En-route ATFM delay KPI18: Level capping during cruise

NOPS-B1/2	Enhanced Network Operations Planning Operational
☐ Sixth edition of th	e GANP ②
Main Purpose 🕜	The Network Operations Planning needs to be enhanced to achieve collaborative planning with the support of services which can be automated (B2B interfaces/SWIM services).
New Capabilities 2	Tools and procedures to be deployed to enhance Network Operations planning.

Description ?

The Network Operations Planning process will be enhanced to continuously provide up-to-date situational information on all components of the network. Furthermore, it will provide access to initial network performance objectives and support to network performance assessment in postoperations.

The required technological platform will use the state-of-the-art technologies for creation of a virtual operations room for the physically distributed network operations, in support of collaborative Network Operations Planning. These interfaces will support the network collaborative dynamic/rolling processes from strategic to real-time operations, including capabilities for online performance monitoring integrated and feeding back into the collaborative network planning.

The information and dialogue tools shall be accessed via different interfaces. Access to information is done in a secure way, tailored according to stakeholders needs and subject to access control rules, so that only those who have an operational need to access particular information are able to do so. A common interface to all stakeholders needs to be developed to enable the collaborative decision-making processes used to build and execute the Network Operations Planning.

The following new features will be introduced:

- Enhanced Network Operations Planning interfaces (B2B/SWIM based);
- Initial steps related to the Network Operations Planning extended functions (crisis management and network disruption);
- Tools for on-line performance monitoring;
- Tools for network impact assessments.

Maturity Level ?

Standardization

**Human Factor** Considerations 1. Does it imply a change in task by a user or affected others? Yes

New role and responsibilities for some ATFM actor.

2. Does it imply processing of new information by the user? Yes

New info stream are handled as impact assessment and crisis management.

3. Does it imply the use of new equipment? Yes

New platform and interfaces are needed.

4. Does it imply a change to levels of automation? Yes

New function were added, those that did not exist before.

# PLANNING LAYERS ?

Strategical Pre-tactical Tactical-Pre ops



Departure En-route Arrival

# DEPENDENCIES AND RELATIONS @

Evolution NOPS-B0/3 - Network Operation Planning basic features  Relation-information need AMET-B1/3 - Climatological and historical meteorological information  Relation-information benefit FICE-B2/4 - Flight Data Request Service	Type of Dependencies	ASBU Element
Relation-information benefit FICE-B2/4 - Flight Data Request Service	Evolution	NOPS-B0/3 - Network Operation Planning basic features
	Relation-information need	AMET-B1/3 - Climatological and historical meteorological information
	Relation-information benefit	FICE-B2/4 - Flight Data Request Service
Relation-operational benefit SWIM-B2/1 - Information service provision	Relation-operational benefit	SWIM-B2/1 - Information service provision

Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	-	Enhanced NOP dissemination procedure	Develop the ATFM procedures for communicating refined Network plans to ANSP, Airspace Users and Airport Operators Doc 9971 Manual on Collaborative ATFM	Airport operator  ANSP  ATM network function  Aircraft operator	2019
Operational procedures	-	Enhanced NOP notification procedure	Develop the ATFM procedures for on-line access/update to the Network Plan and notification of updates. References: ICAO Doc 9971 Manual on Collaborative ATFM	Airport operator  ANSP  ATM network function  Aircraft operator	2019
Operational procedures	-	Critical event procedure	Develop the ATFM procedures for handling of a critical event.	ATM network function	2019
Operational procedures	-	Airspace availability procedure	Develop the ATFM procedures to ensure that Network is constantly updated to reflect all changes to the airspace availability and airspace users requests.  References: ICAO Doc 9971 Manual on Collaborative ATFM	Airport operator  ANSP  ATM network function  Aircraft operator	2019
Operational procedures	-	B2B/SWIM services procedure	Real-time technical support procedures for B2B(2019)/SWIM(2020) services. References: ICAO Doc 9971 Manual on Collaborative ATFM	Aircraft operator	2019
Ground system infrastructur e	-	Enhanced NOP platform	Enhance the ATFM technical platform.	ATM network function	2019
Ground system infrastructure	ATM systems	Enhanced NOP functions	Upgrade the ATFM system with extended function (crisis management, impact assessment, performance monitoring).	ATM network function	2019
Ground system infrastructure	ATM systems	Enhanced NOP interfaces	B2B (2019)/ SWIM (2020) Network system interfaces with concerned stakeholders.	Airport operator  ANSP  ATM network function  Aircraft	2019

enhanced network operations ATM network function Aircraft operator	Training	-	Training requirements for	Training in new operational procedures and ground systems.	Airport operator 2	2019
an a vatav						
planning			operations planning		Aircraft operator	

#### **Operational conditions:**

An enhanced common platform is available to all Stakeholders needs enabling the collaborative decision making processes used to maintain and execute the Network Operations Planning.

#### Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)
	Capacity Enhanced planning will ensure better use of available capacity and reduce delays.	Airport operator ANSP Airspace user  ATM network function
Direct benefits	Cost Efficiency Enhanced planning will allow better use of human resources.	Airport operator ANSP Airspace user  ATM network function
	Improve situational awareness of network manager	ATM network function
Indirect benefits	Safety Enhanced by improved sharing of the network situation awareness.	Airport operator ANSP Airspace user  ATM network function

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	KPI
Capacity	Capacity shortfall & associated delay	Establish/update the crisis management capabilities and plans (to cope with the risk of large scale disruptions)	++	

NOPS-B1/3	Enhanced integration of Airport operations planning with network operations planning	Operational
☐ Sixth edition of t	he GANP	
Main Purpose	Integrate the airport operations planning into the	network operations planning.

New Capabilities The airport operations plan will be a single common and collaborative agreed dynamic/rolling plan available to all airport stakeholders. This plan will be integrated with the enhanced network operations planning.

Description ?

The airport operations plan will contain all data and information related to the different status of planning phases and will be a dynamic/rolling plan, which naturally evolves over time. The integration of airport operations planning within the network operations planning provides a dynamic/rolling picture of the network situation to be used by all operational stakeholders to prepare their plans and their inputs to the network CDM processes.

The data exchanges are based on the subset of B2B/SWIM services that are most widely available to all stakeholders, communicating with local airport A-CDM systems to exchange relevant operational information.

This element is a step prior to the full integration of the airport operations planning to the network operations planning.

Maturity Level ?

Standardization

Human Factor Considerations 1. Does it imply a change in task by a user or affected others? Yes

Yes, manual coordination is automated.

2. Does it imply processing of new information by the user? Yes

AOP/NOP interfaces contain a full set of new data exchange items.

3. Does it imply the use of new equipment? Yes

In some cases. New modules and interfaces.

4. Does it imply a change to levels of automation? Yes

Reduced need for phone coordination.





# Type of Dependencies ASBU Element Evolution NOPS-B0/4 - Initial Airport/ATFM slots and A-CDM Network Interface Relation-operational need ACDM-B2/1 - Airport Operations Plan (AOP) Relation-operational need NOPS-B1/2 - Enhanced Network Operations Planning Relation-operational benefit SWIM-B2/1 - Information service provision Relation-operational benefit SWIM-B2/2 - Information service consumption

#### **ENABLERS**

Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational	-	AOP/NOP	Develop the ATFM/Airport procedures for	Airport operator	2019
procedures		procedure	AOP/Network Operation Planning integration. References: ICAO Doc 9971	ATM network function	
			Manual on Collaborative ATFM		
Ground system	Airport systems	AOP	Develop the Airport Operations Plan module	Airport operator	2019
infrastructure	A.T.M	A OD ALOD	Develop the Airrent Open to College		0046
Ground	ATM systems	AOP/NOP	Develop the Airport Operations//Network	Airport operator	2019
system interface Operations Planr infrastructure	Operations Planning interfaces	ATM network function			

#### **Operational conditions:**

It concerns airports which have already implemented AOP. This element will involve Airports and the ATM Network function. Airport planning will be integrated in the Network operations planning. The integration of Airport operations planning within the Network operations planning.

#### Main intended benefits:

Туре	Operational description Benefitting stakeholder(s)			
Direct benefits	Capacity. An integrated planning will facilitate better utilization of available airspace and airport capacities and will reduce delays. Support optimal use of facilities and services, better use of airport and ATFM slots. Predictability Efficiency Decrease in fuel burn through better timed operations.	Airport operator ANSP Airspace user  ATM network function		
	Improve situational awareness of stakeholders	Airport operator ANSP Airspace user  ATM network function		

KPA Focus Areas Most specific performance KPI objective(s) supported Impact	
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NOPS-B1/4	Dynamic Traffic Complexity Management Operational			
☐ Sixth edition of t	he GANP			
Main Purpose 2	Enhanced traffic complexity assessment by automation.			

New Capabilities The predicted complexity coupled with traffic demand enables the ATM Network function to take timely action to better address demand and capacity balancing, or request the trajectory changes in coordination with ATC and Airspace Users.

Description ?

The rigid application of ATFM measures based on standard capacity thresholds as the pre-dominant tactical capacity measure needs to be replaced by a close working relationship between ANSPs and ATM Network function, which would monitor both the real demand and the effective capacity of sectors having taken into account the complexity of expected traffic situation. The local traffic complexity assessment continuously monitors sector demand and evaluate traffic complexity (by applying predefined complexity metrics) according to a predetermined qualitative scale. It provides support in the determination of solutions in order to plan airspace, sectors and staff to handle the predicted traffic. The local complexity assessment would benefit by receiving processing and integrating the ATM Network function information in order to supplement the local traffic counts with the relevant flight plan data. This will improve the quality of the planned trajectory and further enhance the traffic complexity management.

Maturity Level **3** Standardization

Human Factor Considerations 1. Does it imply a change in task by a user or affected others? Yes

New task to manage traffic complexity.

2. Does it imply processing of new information by the user? Yes

New stream of data.

3. Does it imply the use of new equipment? Yes

It could be module of existing system or separate system.

4. Does it imply a change to levels of automation? Yes

Mental activates are automated, complexity tool provides additional data to facilitate the traffic deconfliction well in advance.

# PLANNING LAYERS 2

Tactical-During ops

OPERATIONS 2

En-route

#### **DEPENDENCIES AND RELATIONS @**

There are currently no dependencies.

ENABLERS							
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year		
Operational procedures	-	Complexity management procedure	Complexity management procedures. References: ICAO Doc 9971 Manual on Collaborative ATFM.	ANSP ATM network function	2018		
Ground system infrastructure	ATM systems	Local Traffic load	Local Traffic Load Management tool.	ANSP	2014		

Ground system infrastructur e	ATM systems	Local Traffic complexity	Local Traffic Complexity tools	ANSP	2016
Ground system infrastructur e	ATM systems	ATFM Planned Trajectory (basic feature)	Provision and integration of ATFM Planned Trajectory.	ANSP ATM network function	2016
Ground system infrastructur e	ATM systems	ATFM Planned Trajectory ( enhanced feature)	Upgrade the ATFM systems with the Planned Trajectory improvements	ATM network function	2018
Ground system infrastructur e	ATM systems	Network traffic complexity	Enhancements related to ATFM Traffic complexity assessment.	ATM network function	2019

#### **Operational conditions:**

It is applicable only in areas with dense and complex traffic. This element needs to be addressed by the ANSPs and the ATM Network function in their endeavour to find optimum solutions to accommodate the traffic demand.

#### Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)
	Capacity	ANSP ATM network function
Direct benefits	Cost-Efficiency	ANSP
	Improve situational awareness of network manager	ATM network function
Indirect benefits	Safety	ANSP

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	КРІ
Capacity	Capacity, throughput & utilization	Overcome operational ATC service delivery limitations if these are the blocking factor	++	KPI06: En-route airspace capacity

Description ?

Sixth edition of the GANP ?

Main Purpose **2** Ensure a continuous, seamless and iterative airspace management and air traffic flow management approach.

New Capabilities **?** Fully dynamic/rolling ASM/ ATFM integration process supporting information collection, processing and sharing with all concerned stakeholders.

The full dynamic/rolling ASM/ATFM process focuses on improving airspace planning. It will ensure a continuous, seamless and iterative airspace planning and management/allocation based on airspace requests at any time period within strategic, pre-tactical and tactical ASM levels. It will result in a rolling process, supporting the enhancement of dynamic Network Operations Planning. The real time ASM data exchanges relates to the automated exchange services of ASM data during the tactical phase continuously in real time. ASM information (real-time Airspace Reservation status) is shared between different systems and Stakeholders and communicated to ATFM in the tactical phase.

Several new improvements are introduced as:

- Process/system modules supporting a full rolling ASM/ATFM and dynamic ASM/ATFM process allowing data sharing to all operational stakeholders,
- Process/System changes for initial Collaborative Decision Making (CDM) between ATFM function and the local designated authorities and between neighboring ASM actors.
- ASM information sharing addresses requirements aiming to improve the notification to airspace users based on automated data exchange processes
- Procedural and system modules for exchange of real time airspace status data;
- The Flexible Use of Airspace (FUA) process is improved with more dynamic airspace management enabling dynamic responses to airspace requests (or very short term changes)
- Real-time ASM coordination is further enhanced through "what-if" functionalities and automated support to airspace booking and airspace management.
- Real-time ASM data are exchanged between ASM support systems and ATC system
- Integration and management of ASM real-time data into ANSPs' ATM systems and into AUs flight planning systems;

The full dynamic/rolling ASM/ATFM process will be supported by the sharing of civil-military airspace data and by continuously updating Airspace Reservation information with other demand information among the authorized operational stakeholders in order to enhance the coordination of Cross-Border Operations, and to optimise the whole network operations based on the most timely and correct information. The process is enhanced with "what-if" functionalities enabling a better use of available capacity. ASM real-time data exchanges consisting of pre-notification of activation, notification of activation, de-activation, modification and release of airspace are collected, saved and processed in order to be exchanged between stakeholders and be made available to ATM actors, including Airspace Users (AUs). ATM systems need to be upgraded to exchange real-time ASM data messages containing real time activation status of predefined airspace structures with local ASM support systems and to display airspace status data at the Controller Working Position (CWP).

Maturity Level Standardization

#### Human Factor Considerations

- 1. Does it imply a change in task by a user or affected others? No
- 2. Does it imply processing of new information by the user? Yes

Real time ASM data is new data stream.

- 3. Does it imply the use of new equipment? No
- 4. Does it imply a change to levels of automation? Yes

Automation increased compared with B0.



Strategical Pre-tactical Tactical-Pre ops



Departure En-route Arrival

DEPENDENCIES AND RELATIONS 2					
Type of Dependencies	ASBU Element				
Evolution	NOPS-B0/1 - Initial integration of collaborative airspace management with air traffic flow management				
Relation-operational need	FRTO-B1/1 - Free Route Airspace (FRA)				
Relation-operational need	FRTO-B1/2 - Required Navigation Performance (RNP) routes				
Relation-operational need	FRTO-B1/3 - Advanced Flexible Use of Airspace (FUA) and management of real time airspace data				
Relation-operational need	FRTO-B1/4 - Dynamic sectorization				

ENABLER	S				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	-	Rolling ASM/ATFM procedure	Develop the Procedures and processes for a dynamic/rolling ASM/ATFM process. References: ICAO Doc 9971 Manual on Collaborative ATFM	ANSP ATM network function	2019
Operational procedures	-	ASM data sharing procedure	Develop the Procedures in support of ASM data sharing. References: ICAO Doc 9971 Manual on Collaborative ATFM	ATM network function  Aircraft operator	2019
Operational procedures	-	ASM real time exchanges procedure	Develop the Procedures related to real- time ASM data exchanges. References: ICAO Doc 9971 Manual on Collaborative ATFM	ANSP ATM network function	2019
Operational procedures	-	Advanced FUA procedure	Develop the to advanced FUA procedure. References: ICAO Doc 9971 Manual on Collaborative ATFM	ANSP	2019

Operational	-	Airspace	Procedures to respond to changes	ANSP	2019
procedures		changes procedure	submitted by Airspace users resulting from changes in airspace availability.  References: ICAO Doc 9971 Manual on Collaborative ATFM	ATM network function	
Ground system	ATM systems	Rolling ASM/ATFM	Adapt ASM/ATFM/AUs systems to support a rolling ASM/ATFM process	ANSP ATM network	2019
infrastructur e				function Aircraft operator	
Ground system infrastructur e	ATM systems	ASM notification process	Upgrade the ATFM System modules for improved ASM notification process	ATM network function	2019
Ground system infrastructur e	ATM systems	ASM real time exchanges-ATC part	Upgrade the ATC systems for real-time ASM data exchanges	ATM network function	2019
Ground ATM systems system infrastructur e	ATM systems	systems ASM real time exchanges-ASM and ATFM part	Adapt ASM system, ATFM and AU systems for real-time ASM data	ANSP ATM network function	2019
			exchanges	Aircraft operator	
Training	-	Training requirements for	Rolling ASM/ATFCM process training.  Training in new operational procedures	ANSP ATM network	2019
	full integrat	full integration of ASM with ATFM	and ground system changes	function  Aircraft operator	

#### **Operational conditions:**

This element is an evolution of element NOPS-B0/1 and should be undertaken by all ANSPs, AUs and the ATM Network function affecting both en-route and TMA operations.

#### Main intended benefits:

Main interided benefit		
Туре	Operational description	Benefitting stakeholder(s)
	Capacity Flight delays will be reduced through better utilisation of airspace resources within and across airspace boundaries.	ANSP Airspace user  ATM network function
Direct benefits	Flight efficiency Increased through the chance to plan more optimum routes/trajectories allowing lower fuel burn.	Airspace user

Туре	Operational description	Benefitting stakeholder(s)
	Improve situational awareness of network manager and airspace manager	ANSP ATM network function
Indirect benefits	Safety Better knowledge of traffic environment, common situational awareness, and some enhancement through reduction in controller workload.	ANSP Airspace user  ATM network function

KPA	Focus Areas	Most specific performance objective(s) supported	KPI Impact	КРІ
Efficiency	Flight time & distance	Facilitate tactical decisions leading to a shorter actual route than in the FPL	++	KPI05: Actual enroute extension
Efficiency	Flight time & distance	Overcome route selection inefficiencies associated with route & airspace availability as known at the flight planning stage	++	KPI04: Filed flight plan en-route extension
Efficiency	Flight time & distance	Reduce need for tactical ATFM rerouting to circumnavigate airspace closed at short notice	++	KPI05: Actual enroute extension
Efficiency	Vertical flight efficiency	Reduce altitude restrictions during climb introduced to avoid airspace above	++	KPI17: Level-off during climb
Efficiency	Vertical flight efficiency	Reduce altitude restrictions during cruise introduced to avoid airspace above	++	KPI18: Level capping during cruise
Efficiency	Vertical flight efficiency	Reduce altitude restrictions during descent to avoid Special Use Airspace	++	KPI19: Level-off during descent

NOPS-B1/6	Initial Dynamic Airspace configurations	Operational
☐ Sixth edition of the	ne GANP 🕖	
Main Purpose 2	ASM solutions and initial dynamic airspace confitraffic flows and demand/capacity balancing	igurations for ATFM planning, synchronisation of
New Capabilities ?	Availability of optimised Airspace solutions/Initia demand and dynamic sectors management take	I Dynamic Airspace configurations based on traffic in into account for ATFM purposes.

Description ?

This element addresses the following ASM/ATFM improvements:

- Airspace solutions
- Pre-defined airspace configurations
- ANSPs/ ATM Network function data exchanges pertinent to pre-defined airspace configurations

The ASM solutions process is aimed at delivering ASM options/solutions that can help reducing or even alleviate the ATFM measures and address capacity issues identified in any particular area as well as to improve flight efficiency assessing impact on capacity and ensuring the synchronised availability of optimized airspace structures based on traffic demand and dynamic sectors management.

The Airspace configurations are pre-defined and coordinated airspace structures and ATC dynamic sectorisation, to meet the ATFM and airspace needs in terms of capacity and/or flight efficiency. The implementation of pre-defined airspace configuration exchange covers the improvements of ATFM systems, to allow exchange of predefined airspace configurations information.

The decisions required for dynamic sectorisation could benefit from real time exchanges with ATM Network function for ATFM planning, synchronisation of traffic flows and demand/capacity balancing. The notification of Airspace Configurations will be based on automatic flows of information between the different stakeholders supported by the ATM Network function. The airspace configurations and flexible sector configurations are already used when the flows and constraints can be predicted well in advance (e.g. weekend routes or seasonal flows of traffic).

A more efficient and dynamic process involving the ATM Network function, ATC would require new functionalities and procedures and well defined collaborative decision making processes at pretactical level. The ANSPs systems needs to support the dynamic sectorisation by dynamic resizing and change of sector shapes and volumes based on pre-defined airspace configurations.

Maturity Level ?

Standardization

**Human Factor** Considerations

- 1. Does it imply a change in task by a user or affected others? Yes Utilisation of pre-defined airspace configuration.
- 2. Does it imply processing of new information by the user? Yes Airspace structure and ATC sectorisation are new data information.
- 3. Does it imply the use of new equipment? No
- 4. Does it imply a change to levels of automation? Yes

Manual Task are semi-automated.



Strategical Pre-tactical



#### DEPENDENCIES AND RELATIONS @ Type of Dependencies **ASBU Element** Relation-operational need FRTO-B0/1 - Direct routing (DCT) FRTO-B0/2 - Airspace planning and Flexible Use of Airspace (FUA) Relation-operational need

Relation-operational need	FRTO-B0/3 - Pre-validated and coordinated ATS routes to support flight and flow
Relation-operational need	FRTO-B1/1 - Free Route Airspace (FRA)
Relation-operational need	FRTO-B1/2 - Required Navigation Performance (RNP) routes
Relation-operational need	FRTO-B1/3 - Advanced Flexible Use of Airspace (FUA) and management of real time airspace data
Relation-operational need	FRTO-B1/4 - Dynamic sectorization

Enabler	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	-	Airspace solution procedure	Develop the ASM/ATFM procedures for airspace solution. References: ICAO Doc 9971 Manual on Collaborative ATFM	ANSP ATM network function	2016
Operational procedures	-	Predefined airspace configuration procedure	Develop the ASM/ATFM procedures for pre-defined airspace configurations. References: ICAO Doc 9971 Manual on Collaborative ATFM	ANSP ATM network function	2018
Operational procedures	-	Constrain management procedure	Develop the ASM/ATFM procedures for dynamic sectorization and constrain management. References: ICAO Doc 9971 Manual on Collaborative ATFM	ANSP	2019
Ground system infrastructure	ATM systems	Airspace solution	Upgrade the ATFM system modules related to the airspace solution	ATM network function	2016
Ground system infrastructure	ATM systems	Predefined airspace configuration	Upgrade the ATFM system modules related to the pre-defined airspace configurations	ATM network function	2018
Ground system infrastructur e	ATM systems	Dynamic sectorization	Enhance the ATC system capabilities with dynamic sectorization and constraint management.	ANSP	2019
Ground system infrastructure	ATM systems	Airspace configuration data exchanges (basic feature)	SWIM data exchanges for pre-defined airspace configurations	ANSP ATM network function	2019

# **Operational conditions:**

This element will be addressed by ANSPs and the ATM Network function as required ensuring a synchronised availability of optimised airspace structures supported by dynamic sectors management to better address traffic demand.

Main intended benefits:					
Туре	Operational description	Benefitting stakeholder(s)			
Direct benefits	Capacity	ANSP ATM network function			

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	KPI
Capacity	Capacity shortfall & associated delay	Establish/update/publish the catalogue of strategic ATFM measures designed to respond to a variety of possible/typical/recurring events degrading the airspace system (e.g. predefined action plans)	++	

NOPS-B1/7	Enhanced ATFM slot swapping	Operational
☐ Sixth edition of the	e GANP 👩	
Main Purpose 2	Improve the Airspace Users driven ATFM slot sw	apping process
New Capabilities 2	The swapping of ATFM measure impacted flights to all ATFM measure impacted flights.	within the same ATFM measure will be extended
Description ?	improves the slot swapping currently used by Airs extended gradually to all airspace users, by re-pri operations. The Enhanced Slot swapping increas wider range of possibilities, by facilitating the idea impacted flight (through B2B/SWIM-based Network)	it their needs. The enhanced ATFM Slot Swapping space Users (AU), by allowing the function to be oritizing their flights during the pre-departure part of ses flexibility for Airspace Users; and provides a ntification of possible swaps for an ATFM Measure ork Operations Planning interfaces) and by refining current processes. The AUs requests for
Maturity Level 2	Standardization	

#### Human Factor Considerations

- 1. Does it imply a change in task by a user or affected others? Yes Change of tasks for many ATFM actors.
- 2. Does it imply processing of new information by the user? Yes New data stream for slot swapping and airport slot monitoring.
- Does it imply the use of new equipment? YesChange of system and interfaces.
- 4. Does it imply a change to levels of automation? Yes Coordination is semi-automated.

# PLANNING LAYERS ②

Tactical-Pre ops

#### OPERATIONS ?

Departure

DEPENDENCIES AND RELATIONS 2				
Type of Dependencies	ASBU Element			
Evolution	NOPS-B0/4 - Initial Airport/ATFM slots and A-CDM Network Interface			
Relation-operational need	NOPS-B1/2 - Enhanced Network Operations Planning			
Relation-operational benefit	SWIM-B2/1 - Information service provision			
Relation-operational benefit	SWIM-B2/2 - Information service consumption			

ENABLER	S				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational	-	Slot swapping	Develop the Procedures for ATFM slot	ATM network function	2019
procedures		procedure	swapping. References: ICAO Doc 9971 Manual on Collaborative ATFM	Aircraft operator	
Ground system infrastructur e	ATM systems	FOC interface to slot swapping module	Upgrade the The Flight Operations Centre (FOC) system modules with interfaces, automation, and decision-support for ATFM slot swapping	Aircraft operator	2019
Ground system infrastructur e	ATM systems	ATFM slot swapping module	Enhance the ATFM systems with ATFM slot swapping capabilities supporting as well the Airport Slot Monitoring in real time	ATM network function	2019
Training	-	Training	Slot swapping training. Train Flight	ATM network function	2019
		requirements for slot swapping	Operation Centre personnel	Aircraft operator	

#### **Operational conditions:**

This element will support AUs businesses by reprioritizing their flights during the pre-tactical part of operations if and as requested by them. The ATM Network function will keep all impacted stakeholders in the loop in the context of the Collaborative Decision Making processes.

#### Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)
Indirect benefits	Efficiency of airlines operations. Airlines cost savings related to each slot swap that is executed.	Airspace user

#### INTENDED PERFORMANCE IMPACT ON SPECIFIC KPAS AND KPIS

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	KPI
Capacity	Capacity shortfall & associated delay	For a given airspace entry slot: let airspace users swap the slot to another flight (slot substitution or UDPP – User Driven Prioritisation Process)	++	

NOPS-B1/8	Extended Arrival Management supported by the	Operational
	ATM Network function	

- Sixth edition of the GANP 

  Output

  Description:
- Main Purpose 

  ATM Network function contributions to extended Arrival Management.
- New Capabilities **2** Extended Arrival Management information is taken on board by the Network ATM function to improve the quality of the ATFM service.
- Description The ATM Network function involvement in extended Arrival Management process is addressed by this element. It does include the following elements:
  - Enhancements of ATFM Planned Trajectory about the accuracy/predictability of estimates to meet the extended arrival management operational requirements;
  - Provision of ATFM Planned Trajectory to ANSPs;
  - Reception and processing of ANSPs extended Arrival Management info by ATM Network function;
  - ATFM assessment tool for extended Arrival Management.

Bilateral agreements need be established between the sectors involved that can be in different ATC units and also in different countries, including the ATM Network function for the notification purposes. The ATFM procedures need to be revised for the management of the extended Arrival Management information.

Maturity Level Standardization

**Human Factor** Considerations 1. Does it imply a change in task by a user or affected others? Yes

Propagation of delay further en-route will increase the task for some ATFM actors.

2. Does it imply processing of new information by the user? Yes

AMAN delay is propagated further en-route and the Network function is notified.

- 3. Does it imply the use of new equipment? No
- 4. Does it imply a change to levels of automation? Yes

Some ATFM actors got new tasks for the optimisation of arrival traffic flows.

#### PLANNING LAYERS ?

Tactical-Pre ops Tactical-During ops



En-route Arrival

#### DEPENDENCIES AND RELATIONS ②

Type of Dependencies

**ASBU Element** 

Relation-operational need

RSEQ-B1/1 - Extended arrival metering

#### **ENABLERS**

Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	-	Extended AMAN LoA	Define the data exchanges and operational procedures with ANSP. References: ICAO Doc 9971 Manual on Collaborative ATFM	ATM network function	2017
Operational procedures	-	ATFM procedure for Extended AMAN	Develop the ATFM procedures for management of extended Arrival Management information. References: ICAO Doc 9971 Manual on Collaborative ATFM	ATM network function	2017
Ground system infrastructur	ATM systems	ATFM extended AMAN module	Upgrade the ATFM system modules to support extended Arrival Management	ATM network function	2017

#### **DEPLOYMENT APPLICABILITY**

#### **Operational conditions:**

It is applicable in areas where extended AMAN tools and procedures are implemented. This element will be addressed by the ANSPs at high density TMAs, if and as required, in improving arrival management. The ATM Network function will ensure that all impacted stakeholders (different ATC units also maybe in different countries) are properly involved and appropriate ATFM actions are undertaken.

Main intended benefits:				
Туре	Operational description	Benefitting stakeholder(s)		
Direct benefits	Capacity Operational Efficiency: Improved arrival flow.	ANSP Airspace user  ATM network function		
2.1001.201.011.0	Improve situational awareness of network managers and ANSPs	ANSP ATM network function		

# INTENDED PERFORMANCE IMPACT ON SPECIFIC KPAS AND KPIS KPA Focus Areas Most specific performance objective(s) supported KPI Impact KPI

NOPS-B1/9	Target Times for ATFM purposes Operational
☐ Sixth edition of the	e GANP ②
Main Purpose 2	Use of Target Times for ATFM purposes including an initial level of arrival sequencing in case of an arrival ATFM measure.
New Capabilities	Calculation and provision of Target Times by the ATM Network function in addition to CTOT, for the most penalised measure.
Description 2	In order to improve the flight predictability at the entry of the congested area, a target time of entry at the congested area (most penalised measure) will be provided by ATM Network function. At this stage, the target times will be applied for ATFM purpose only, including an initial level of arrival sequencing in case of an arrival ATFM measure. The ATM Network function will provide the calculated Target Time (TT) at the most penalising measure reference point in addition to Calculated Take-Off Time (CTOT) to all concerned users. TT will be distributed by data exchanges with the concerned Stakeholders. Stakeholders using TTs should be able to receive, extract and present the target times delivered by ATM Network function. ANSPs have access to the relevant information on flights that are subject to a Target Time to manage these flights as required in accordance with local procedures that need to be developed. The Flight Operating centres should provide Target Times to pilots prior to departure; pilots should endeavour to adhere to the Target Times to the extent possible.
Maturity Level ?	Standardization

# Human Factor Considerations

1. Does it imply a change in task by a user or affected others? Yes

Target time adherence by AUs and ANSPs.

2. Does it imply processing of new information by the user? Yes

Target time to be presented to affected users.

- 3. Does it imply the use of new equipment? No
- 4. Does it imply a change to levels of automation? Yes

AUs and ANSPs to process and comply with target times.

#### PLANNING LAYERS ②

Tactical-Pre ops

OPERATIONS 2

En-route Arrival

#### DEPENDENCIES AND RELATIONS 2

Type of Dependencies ASBU Element

Relation-operational need RSEQ-B1/1 - Extended arrival metering

#### ENIARI ERS

ENABLER	5				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	-	Target Time procedure	Develop the ATFM Target Times procedures and processes. References: ICAO Doc 9971 Manual on Collaborative ATFM	ATM network function  Aircraft operator	2018
Ground system infrastructur e	ATM systems	Target time module	Upgrade the ATFM Systems to support Target Time processing and sharing	ATM network function	2019
Ground system infrastructur e	CFSP systems	Target time interface	AUs Operation Centre systems to extract and distribute Target Times	Aircraft operator	2019
Training	-	Training requirements for Target Times	Target Time training. Target Time training	ANSP Aircraft operator	2019

#### **DEPLOYMENT APPLICABILITY**

#### **Operational conditions:**

This element will involve ANSPs, Airspace Users and the ATM Network function in their endeavour to improve predictability of operations through the sharing and use of Target Times (Target Times Over/Target Times of Arrival).

Main intended benefits:				
Туре	Operational description	Benefitting stakeholder(s)		
Direct benefits	Predictability. Capacity	ANSP Airspace user  ATM network function		
	Improve situational awareness of ANSPs and flight crew	ANSP Aircraft operator		

#### INTENDED PERFORMANCE IMPACT ON SPECIFIC KPAS AND KPIS

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	КРІ
Capacity	Capacity shortfall & associated delay	TMI-based optimisation (only impacts traffic when a TMI or restriction is manually activated for one or more constraint satisfaction points)	++	

NOPS-B2/1 Optimised ATM Network Services in the initial Operational TBO context

☐ Sixth edition of the GANP ②

Main Purpose Optimised Air Traffic Flow and Capacity Management stemming from enhanced Trajectory prediction and further integration of ATC with ATFM.

New Capabilities Availability of "BIG" data and enhanced statistical approaches will improve Trajectory forecast and provide more accurate and consistent end to end 4D trajectories which will in turn optimise the provision of Flow and Capacity Management Services also in the context of the global ATFM concept. New systems, tools and procedures supporting the ATFM/ATC integration (e.g. advanced STAM, TT exchanges) will be introduced.

Description ?

Computation and sharing of more accurate and consistent end to end 4D trajectories will constitute the basis for forecasting traffic complexity for the relevant look-ahead time horizons, improved ATFM scenario management and the provision of an enhanced common network view as a key enabler to optimise collaborative network management. Interactions between trajectory management and for instance, ATFM / STAM processes and Dynamic Airspace Configuration will be further enhanced.

Tools and systems are required for improved network prediction and performances such as:

- · Tools that provide functionality for simulating, evaluating the balance between demand and capacity taking into account the data from different stakeholders;
- ATFM scenario management;
- · Assessment of performance of network operations with stakeholders able to evaluate the impact of their intentions and decisions on capacity and other performance indicators;
- · Automated tool supporting ATFM/ATC planning environment to manage traffic complexity in order to alleviate traffic complexity, density and traffic flow problems by planning individual trajectories using advanced planning tools;
- The management of Hotspot resolution and more frequent STAM Measures in the planning and execution phase is supported by advanced capabilities (preparation, implementation, monitoring).
- The Target-Time Management: to manage the hotspot resolution, DCB actors can constrain the Time of Entry of flights into the hotspot with TTO (Target Time Over the congested en-route point) and TTA (target Time of Arrival at congested Airport) in order to smooth the traffic.

Maturity Level ?

Validation

**Human Factor** Considerations

- 1. Does it imply a change in task by a user or affected others? No
- 2. Does it imply processing of new information by the user? Yes Additional data are exchanged.
- 3. Does it imply the use of new equipment? No
- 4. Does it imply a change to levels of automation? Yes
- STAM measure coordination is automated.

#### PLANNING LAYERS ②

Tactical-Pre ops Tactical-During ops



Departure En-route Arrival

# **DEPENDENCIES AND RELATIONS ?**

Type of Dependencies	ASBU Element
Evolution	NOPS-B1/1 - Short Term ATFM measures
Evolution	NOPS-B1/4 - Dynamic Traffic Complexity Management
Evolution	NOPS-B1/8 - Extended Arrival Management supported by the ATM Network function
Evolution	NOPS-B1/9 - Target Times for ATFM purposes
Relation-operational need	NOPS-B2/3 - Collaborative Network Operation Planning

ENABLER	S				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	-	Scenario management procedure	Develop the ATFM the Scenario Management procedures. References: ICAO Doc 9971 Manual on Collaborative ATFM	ATM network function	2022
Operational procedures	-	Network Performance Assessment procedure	Develop the ATFM procedures for the Network performance assessments. References: ICAO Doc 9971 Manual on Collaborative ATFM	Airport operator  ANSP  ATM network function  Aircraft operator	2022
Operational procedures	-	Hotspot resolution procedure	ATFM procedures for Hotspot resolution and more frequent STAM measures. References: ICAO Doc 9971 Manual on Collaborative ATFM	Airport operator  ANSP  ATM network function  Aircraft operator	2022
Operational procedures	-	Target Time reconciliation procedure	ATFM/ATC/Airport procedures for TT management. References: ICAO Doc 9971 Manual on Collaborative ATFM	Airport operator  ANSP  ATM network function  Aircraft operator	2022
Ground system infrastructur e	ATM systems	Further traffic complexity enhancements	Further enhancement of ATC/ATFCM traffic complexity tools	ANSP ATM network function	2024
Ground system infrastructur e	ATM systems	Scenario management module	Upgrade the ATFM systems with the Scenario Management module	ATM network function	2024
Ground system infrastructur e	ATM systems	Network Performance Assessment module	Upgrade the ATFM systems with the Network Performance Assessment module	Airport operator  ANSP  ATM network function  Aircraft operator	2024
Ground system infrastructur e	ATM systems	Trajectory forecast module	Develop the Trajectory forecast modules	Airport operator  ANSP  Airspace user  ATM network function	2025

Ground system infrastructur e	ATM systems	Further enhancements of STAM tool	Upgrade the ATFM system to support the management of Hotspot resolution and more frequent STAM Measures in the planning and execution phase (preparation, implementation, monitoring)	ATM network function	2024
Ground system infrastructur e	ATM systems	Target Time reconciliation module	Target Time management tools for the reconciliation of extended AMAN and AOP	Airport operator  ANSP  ATM network function	2022
Ü				Aircraft operator	

**Operational conditions:** 

Main intended benefits:

Type Operational description Benefitting stakeholder(s)

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	KPI
Capacity	Capacity shortfall & associated delay	Address demand/capacity imbalance handled at the tactical ATFM stage (on the day of operations)	++	

NOPS-B2/2	Enhanced dynamic airspace configuration Operational
☐ Sixth edition of th	e GANP ②
Main Purpose ?	Improve the management of airspace including restricted / reserved areas and if possible dynamic mobile areas and fully integrate ASM with ATFM.
New Capabilities    Output  Description:	Airspace configurations modified more dynamically based on evolutions anticipated in the forecast of traffic will be introduced. Airspace configurations will better match scarce resources with anticipated Demand and Capacity imbalances thus minimising the need for ATFM measures. The Air Traffic Flow Management and the Airspace Management will be further integrated.

Description ?

New concepts, systems and procedures will allow full dynamicity of airspace volumes management and sector configurations. DACs integration in ATFM will provide additional means regarding the collaborative optimisation of traffic flows (strategic, pre-tactical, tactical) making best use of available scarce resources. Airspace volumes that satisfy specific operational requirements are progressively introduced to facilitate the ATM network optimization and minimize the impact on the expected traffic flows.

Maturity Level

Validation

**Human Factor** Considerations

- 1. Does it imply a change in task by a user or affected others? No
- 2. Does it imply processing of new information by the user? Yes

Data related to dynamic airspace configurations.

- 3. Does it imply the use of new equipment? No
- 4. Does it imply a change to levels of automation? Yes

The automation of DAC process is increased.



Strategical Pre-tactical Tactical-Pre ops



#### DEPENDENCIES AND RELATIONS @ Type of Dependencies **ASBU Element Evolution** NOPS-B1/6 - Initial Dynamic Airspace configurations **Evolution** NOPS-B1/5 - Full integration of airspace management with air traffic flow management

ENABLER	S				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	-	DAC procedure	Develop the ASM/ATFM procedures for DAC. References: ICAO Doc 9971 Manual on Collaborative ATFM.	ANSP ATM network function	2024
Operational procedures	-	Airspace volume procedures	Develop the ASM/ATFM procedures for Dynamic Airspace Volumes. References: ICAO Doc 9971 Manual on Collaborative ATFM	ANSP ATM network function	2024
Ground system infrastructur e	ATM systems	DAC/DMA integration	Enhancement of ATFM system modules related to the DAC/DMA integration	ATM network function	2024

Ground ATM systems DAC/DMA Development of data exchange interfaces system interfaces interfaces interfaces for DAC/DMA

ATM network function

#### **DEPLOYMENT APPLICABILITY**

Validation

**Operational conditions:** 

Main intended benefits:

Type Operational description Benefitting stakeholder(s)

#### INTENDED PERFORMANCE IMPACT ON SPECIFIC KPAS AND KPIS

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	КРІ
Capacity	Capacity shortfall & associated delay	Mitigate demand/capacity imbalance in en-route airspace	++	
Capacity	Capacity, throughput & utilization	Optimise en-route airspace capacity	++	

# NOPS-B2/3 Collaborative Network Operation Planning Operational Sixth edition of the GANP ? Enhance Network Operation Planning to support the Collaborative Network Management in the Main Purpose ? context of more dynamic /rolling planning processes and the initial Trajectory Based Operations (TBO). System and procedures for the variety of collaborative mechanisms. It includes the tools, roles, New Capabilities ? responsibilities, rules and procedures concerning the ATFM initiation, delegation, coordination, implementation and monitoring. The Collaborative Network Operation Planning provides all the information to the ATFM Description ? actors/functions to support network and local demand capacity balancing activities as: Conciliating multiple constraint resolution strategies from all the stakeholders in order to identify the best measure given nature, scope and time horizon; • The provision of network consolidated imbalances figures; • The provision of enhanced "what-if" functionalities; • The provision of what-else capabilities to propose alternate solution; • The provision of Network information; Monitoring Network performance; Drawing latest information shared via SWIM.

# Human Factor Considerations

- 1. Does it imply a change in task by a user or affected others? Yes Integration of ATC and ATFM roles.
- Does it imply processing of new information by the user? Yes
   New data are handled and needs to presented to ATFM and ATC planning actors.
- 3. Does it imply the use of new equipment? YesIn some cases. It could be handled via modules and interfaces or separate sub-systems.
- 4. Does it imply a change to levels of automation? Yes
  User task for extended planning horizon are automated.





DEPENDENCIES AND RELATIONS 2	
Type of Dependencies	ASBU Element
Evolution	NOPS-B1/2 - Enhanced Network Operations Planning
Evolution	NOPS-B1/1 - Short Term ATFM measures

ENABLER	S				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	-	Collaborative NOP procedure	Develop the ATFM procedures for the collaborative access and update of the Network Operation Planning. References: ICAO Doc 9971 Manual on Collaborative ATFM	Airport operator  ANSP  ATM network function  Aircraft operator	2025
Ground system infrastructur e	ATM systems	Collaborative NOP platform	Develop the Collaborative Network Operation Planning platform	ATM network function	2025
Ground system infrastructur e	ATM systems	Extended ATFM functions	Upgrade the ATFM system with extended functions (consolidation of imbalances, ECDM modules "what-if" and "what-else" functions, monitoring of network performances).	ANSP ATM network function Aircraft operator	2025
Ground system infrastructur e	ATM systems	Collaborative NOP SWIM interfaces	SWIM Network system interfaces with concerned Stakeholders.	Airport operator  ANSP  ATM network function  Aircraft operator	2025

#### **Operational conditions:**

#### Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)
Direct benefits	Improve situational awareness of stakeholders	

#### INTENDED PERFORMANCE IMPACT ON SPECIFIC KPAS AND KPIS

KPA Focus Areas Most specific performance objective(s) supported KPI Impact KPI	
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NOPS-B2/4 Multi ATFM slot swapping and Airspace Users Operational priorities

☐ Sixth edition of the GANP ②

Main Purpose Introduce s Airspace Users preferences and priorities based on their business models to select flights for ATFM measures

New Capabilities ② Capabilities to accommodate Airspace Users priorities are introduced enabling AUs to recommend a priority order for flights affected by delays on departure, arrival and en-route, and to share preferences with other ATM stakeholders in capacity-constrained situations. The swapping of ATFM regulated flights will be extended/enhanced (different AUs, multi-swapping, substitution on cancelations, etc.).

Description ? The multi ATFM slot swapping is supported by a tool that:

- · Identification of viable swaps;
- Perform multi-swap (e.g. weather deterioration);
- Slot substitution on Cancellation: capability to substitute the ATFM slot of a cancelled flight for another flight.

The systems and tools for Airspace Users priorities include:

- AU decision making tool can interface with Airport CDM system to propose flight reordering;
- Interface with the departure sequencing tool;
- Interface with the ATM network function related to the swapped flights and actions to be undertaken (e.g. new CTOT)

Maturity Level Validation

# Human Factor Considerations

- Does it imply a change in task by a user or affected others? Yes
   Change of tasks for many ATFM actors.
- 2. Does it imply processing of new information by the user? Yes New data stream for UDPP data.
- Does it imply the use of new equipment? YesChange of system and interfaces.
- Does it imply a change to levels of automation? Yes
   Airspace Users preferences are fully taken into account.

#### PLANNING LAYERS 2

Tactical-Pre ops

OPERATIONS ?

Departure

DEPENDENCIES AND RELATIONS 2		
Type of Dependencies	ASBU Element	
Evolution	NOPS-B1/7 - Enhanced ATFM slot swapping	
Evolution	NOPS-B1/2 - Enhanced Network Operations Planning	
Evolution	NOPS-B0/4 - Initial Airport/ATFM slots and A-CDM Network Interface	
Evolution	NOPS-B0/2 - Collaborative Network Flight Updates	

ENABLER	S				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	-	Multi-swap procedures	Develop the procedures for multi ATFM slot swapping and AUs priorities. References: ICAO Doc 9971 Manual on Collaborative ATFM	ATM network function  Aircraft operator	2025
Ground system infrastructur e	CFSP systems	Multi Swap /UDPP interfaces	The Flight Operations Centre (FOC) system modules with tools and interfaces for multi ATFM slot swapping and UDPP	Aircraft operator	2025
Ground system infrastructur e	Airport systems	Airport modules for AUs priority	Upgrade the Airport system adapted to accommodate AUs priorities	Airport operator	2025
Ground system infrastructur e	ATM systems	Multi Swap /UDPP modules	Develop the ATFM Tool for ATFM multi- swap and AUs priorities	ATM network function	2025

Training - Training requirement

requirements for multi slot swapping Multi Swap /UDPP training. Train Flight Operation Centre personnel

ATM network function

Airport operator 2025

Aircraft operator

#### **DEPLOYMENT APPLICABILITY**

**Operational conditions:** 

Main intended benefits:

**Type** 

NOPS-B2/5

**Operational description** 

Further airport integration within Network

Benefitting stakeholder(s)

#### INTENDED PERFORMANCE IMPACT ON SPECIFIC KPAS AND KPIS

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	KPI
Capacity	Capacity shortfall & associated delay	For a given airspace entry slot: let airspace users swap the slot to another flight (slot substitution or UDPP – User Driven Prioritisation Process)	++	

Operation Planning

Sixth edition of the GANP 
Integrated Network planning with additional Airport Operation Planning (AOP) components into the Network Operation Planning.

New Capabilities 
Additional AOP components (airport performance data, airport constrains, landside part) will be integrated with the enhanced Network operations planning.

Description 
The integration of additional AOP data within the Network operations planning provides an enhanced dynamic/rolling picture of the network situation to be used by all operational stakeholders to prepare their plans and their inputs to the network CDM processes. The data exchanges are based on the subset of SWIM services that are most widely available to all stakeholders,

The concept aims to improve integration of departure planning data from medium/small size airports when serving a complex airspace with dense traffic through improved availability of aircraft predeparture information to the ATM Network function by specific TWR tools providing accurate electronic pre-departure information.

communicating with local airport A-CDM systems to exchange relevant operational information.

Operational

Maturity Level Validation

#### Human Factor Considerations

- 1. Does it imply a change in task by a user or affected others? Yes Manual coordination is automated.
- 2. Does it imply processing of new information by the user? Yes

Extended AOP/NOP interfaces contain an additional set of data items.

3. Does it imply the use of new equipment? Yes

In some cases. New modules and interfaces.

4. Does it imply a change to levels of automation? Yes

Reduced need for phone coordination.

# PLANNING LAYERS ?

Strategical Pre-tactical Tactical-Pre ops

**OPERATIONS ?** 

Taxi-out Departure Arrival Taxi-in Turn-around

#### DEPENDENCIES AND RELATIONS @

DEL ENDERVOIES / NVB IVEE/ (I	
Type of Dependencies	ASBU Element
Evolution	NOPS-B0/4 - Initial Airport/ATFM slots and A-CDM Network Interface
Evolution	ACDM-B2/1 - Airport Operations Plan (AOP)
Evolution	NOPS-B1/3 - Enhanced integration of Airport operations planning with network operations planning
Relation-operational need	NOPS-B2/2 - Enhanced dynamic airspace configuration

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Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	-	Enhanced AOP/NOP procedure	Develop the ATFM/Airport procedures for additional AOP components/Network Operation Planning integration. References: ICAO Doc 9971 Manual on Collaborative ATFM	Airport operator  ATM network function	2025
Ground system infrastructure	Airport systems	Enhanced AOP	Enhance the Airport Operations Plan (AOP) with additional components	Airport operator	2025
Ground system infrastructure	ATM systems	Enhanced AOP/NOP interface	Develop the Advanced AOP/Network Operations Planning interfaces	Airport operator ATM network function	2025
Ground system infrastructure	ATC systems	ATC DPI	Enhance the TWR systems for provision of ATC departure planning data	ANSP	2016
Ground system infrastructure	ATM systems	ATC DPI integration	Departure planning data interface and integration	ANSP ATM network function	2016

#### **Operational conditions:**

#### Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)
Direct benefits	Improve situational awareness of network manager, airport operator, aircraft operator and ATCOs.	Airport operator ANSP  ATM network function Aircraft operator

#### INTENDED PERFORMANCE IMPACT ON SPECIFIC KPAS AND KPIS

KPA Focus Areas Most specific performance KPI objective(s) supported KPI Impact

NOPS-B2/6 ATFM adapted for cross-border Free Route Operational Airspace (FRA)

Sixth edition of the GANP ?

Main Purpose Adapt ATFM to accommodate cross border Free Route operations.

New Capabilities The ATFM system tools and procedures are adapted to support the complexity management, demand capacity balancing and ATFM/ATC integration for large cross border FRA deployments.

Description Large cross-border FRA will require the modification of existing ATFM tools and processes as:

- Demand Prediction Uncertainty in FRA environment;
- · Complexity methodologies in FRA environment;
- STAM measures managed in FRA environment;
- ATFM/ATC integration in FRA environment.

Maturity Level Validation

Human Factor Considerations

- 1. Does it imply a change in task by a user or affected others? No
- 2. Does it imply processing of new information by the user? Yes

Additional and more complex data are exchanged (hotspot resolution, prediction uncertainty).

3. Does it imply the use of new equipment? Yes

In some cases. It could be considered as upgrade of existing tool via new modules and interfaces, or development of new tool with SWIM interfaces.

4. Does it imply a change to levels of automation? Yes

The level of automation compared with B0 and B1 elements is increased.

Strategical Pre-tactical Tactical-Pre ops



En-route

DEPENDENCIES	AND RELATIONS ②
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Type of Dependencies	ASBU Element
Evolution	NOPS-B1/1 - Short Term ATFM measures
Evolution	NOPS-B1/4 - Dynamic Traffic Complexity Management
Evolution	FRTO-B1/2 - Required Navigation Performance (RNP) routes
Relation-operational need	NOPS-B2/3 - Collaborative Network Operation Planning

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ENADLER	J					
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year	
Operational	-	Cross-border	Develop the ATFM/ ATC procedures for	ANSP	2016	
procedures		FRA procedure	the large cross border FRA. References: ICAO Doc 9971 Manual on Collaborative	ATM network function		
			ATFM			
Ground	ATM systems	Integration of	Develop the tools supporting the	ANSP	2025	
system infrastructur		ATFM/ATC planning function	integration of ATFM/ATC planning function	ATM network function		
е						
Ground	ATM systems	Advanced STAM	Upgrade the ATFM system to support the	ATM network function	2024	
system infrastructur		tool	management of Hotspot resolution and more frequent STAM Measures in the			
е			planning and execution phase			
			(preparation, implementation, monitoring)			
Ground	ATM systems	Traffic	Further enhancement of ATC/ATFCM	ANSP	2024	
system infrastructur		Complexity enhancements	traffic complexity tools	ATM network function		
е						
Ground	ATM systems	Traffic prediction	Develop the Demand Prediction	ATM network function	2025	
system		module	Uncertainty tools			
infrastructur e						

## **DEPLOYMENT APPLICABILITY**

**Operational conditions:** 

Main intended benefits:

**Operational description Benefitting stakeholder(s)** Type

Туре	Operational description	Benefitting stakeholder(s)
Direct benefits	Improve situational awareness of network manager	ATM network function

## INTENDED PERFORMANCE IMPACT ON SPECIFIC KPAS AND KPIS

KPA	Focus Areas	Most specific performance objective(s) supported	KPI Impact	КРІ
Capacity	Capacity shortfall & associated delay	Mitigate demand/capacity imbalance in en-route airspace	++	
Capacity	Capacity, throughput & utilization	Optimise en-route airspace capacity	++	

# NOPS-B2/7 **UTM Network operations** Operational ☐ Sixth edition of the GANP ② Main Purpose ? New Capabilities ? Description ? Maturity Level ? Human Factor 1. Does it imply a change in task by a user or affected others? Yes Considerations New role and responsibilities. 2. Does it imply processing of new information by the user? Yes New data stream for UTM traffic. 3. Does it imply the use of new equipment? Yes Flight planning modules for drone operators. 4. Does it imply a change to levels of automation? No

## PLANNING LAYERS 3

Strategical Pre-tactical Tactical-Pre ops

**OPERATIONS 3** 

#### DEPENDENCIES AND RELATIONS ②

There are currently no dependencies.

#### **ENABLERS**

Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational procedures	Operations	UTM Network procedure	Develop the Network procedures to manage UTM traffic concerning the airspace reservation and constraint management. Additional item as trajectory management and ATFM measure might be included if relevant.	ANSP ATM network function UAS operator	2025
Ground system infrastructur e	ATM systems	UTM Network system upgrades	Upgrade of ATFM and flight planning function to manage UTM.	ANSP ATM network function UAS operator	2025
Training	-	Training requirements for UTM Network operations	Training of ATM Network function personnel, Drone operators and ATCOs concerning the UTM operations	ANSP ATM network function UAS operator	2025

**Operational conditions:** 

Main intended benefits:

Type Operational description Benefitting stakeholder(s)

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	КРІ
Safety		Maintain or improve safety of very low-level operations	++	

NOPS-B2/8	High upper airspace network operations	Operational
☐ Sixth edition of t	he GANP 2	
Main Purpose 2		
New Capabilities 2		
Description 2		
Maturity Level ?	-	

#### Human Factor Considerations

- 1. Does it imply a change in task by a user or affected others? Yes New role and responsibilities.
- 2. Does it imply processing of new information by the user? Yes New data stream for the higher altitude operation.
- 3. Does it imply the use of new equipment? No
- 4. Does it imply a change to levels of automation? No

#### PLANNING LAYERS ?

Strategical Pre-tactical Tactical-Pre ops

## **OPERATIONS** ?

#### DEPENDENCIES AND RELATIONS ②

There are currently no dependencies.

ENABLER	S				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Operational	Operations	Higher airspace	Develop the Network procedures to	ANSP	2025
procedures		Network procedure	manage higher airspace traffic concerning the airspace reservation and constraint	ATM network function	
			management.	UAS operator	
Ground ATM systems		Higher airspace U	Upgrade ATFCM and flight planning	ANSP	2025
system infrastructur			functions to coordinate any flow /trajectory constraints due to unforeseen weather	ATM network function	
е		upgrades	phenomena, transition operations or airspace restrictions.	UAS operator	
Training -		Training requirements for higher airspace	Training of ATM Network function	ANSP	2025
			personnel, higher altitude vehicle operators and ATCO.	ATM network function	
		network operations		UAS operator	

#### **DEPLOYMENT APPLICABILITY**

**Operational conditions:** 

Main intended benefits:

Type **Operational description** Benefitting stakeholder(s)

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	KPI
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KAFAty

**Focus Areas** 

Moistaipecificpperforafiatycé bigjeartàve(sà serpportécins KPI ++ Impact

KPI

NOPS-B3/1	ATM Network Services in full TBO o	ontext	Operational		
☐ Sixth edition of th	ne GANP 3				
Main Purpose ?	Air Traffic Flow and Capacity Mana	gement in the T	BO environment		
New Capabilities ?	Improved trajectory predictions will pallow Flow-centric operations for De		buffers to be reduced. Trajectory clustering will acity Balancing.		
Description ?	Automated network management us hotspot resolution.	sing AI and mad	chine leaning for DCB decision support tools and		
Dynamic Airspace Configurations (DAC) and Demand and Capacity Balance will be fully integrated. In this context conflict free routes will be automatically allocated and the most optimal division FL structure for specific periods of time will be calculated and flexibly implemented. Finer ATFCM measures (Smart Trajectory Adjustment Measures), taken in a timeframe particularly close to the time of occurrence of a complex situation, will be implemented in a cooperative manner.					
Maturity Level 2	-				
Human Factor Considerations					
PLANNING L	AYERS 🗿	OPER	RATIONS 2		
Tactical-Pre ops	Tactical-During ops	Depar	ture En-route Arrival		
DEPENDENC	CIES AND RELATIONS ?				
There are curren	ntly no dependencies.				
ENABLERS					
There are curren	ntly no enablers.				
DEPLOYMEN	IT APPLICABILITY				
Operational cor	nditions:				
Main intended I	benefits:				
Туре	Operational description		Benefitting stakeholder(s)		

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	КРІ
Capacity	Capacity shortfall & associated delay	Address demand/capacity imbalance handled at the tactical ATFM stage (on the day of operations)	++	
Flexibility		Improve flexibility of the Air Navigation System	++	

NOPS-B3/2 Cooperative Network Operations Planning Operational ☐ Sixth edition of the GANP ② Network planning will transit from collaborative to cooperative in support of performance steering in Main Purpose ? the TBO environment. New Capabilities Network planning will accommodate the needs of all actors identifying all possible constraints and striving for the "Absolute Priority" unifying flight priorities and specificities. Description ? High level of automation enabling the reconciliation of multiple flight and flow centric measures and scenarios with minimum adverse impact on performance. It will allow connecting SBT reconciliation with RBT synchronisation mechanism. The Network Operations Planning will cover the global/interregional coordination process in all phases including post-ops analysis focusing on performance impact. Maturity Level ? Human Factor Considerations PLANNING LAYERS ? **OPERATIONS ?** Strategical Pre-tactical Tactical-Pre ops Departure En-route Arrival Tactical-During ops Post operations

## DEPENDENCIES AND RELATIONS

Type of Dependencies ASBU Element

Evolution NOPS-B2/3 - Collaborative Network Operation Planning

#### **ENABLERS**

There are currently no enablers.

#### **DEPLOYMENT APPLICABILITY**

Operational conditions:

Main intended benefits:

Type Operational description Benefitting stakeholder(s)

INTENDED PERFORMANCE IMPACT ON SPECIFIC KPAS AND KPIS							
KPA	Focus Areas	Most specific performance objective(s) supported	KPI Impact	KPI			
Capacity	Capacity shortfall & associated delay	Mitigate demand/capacity imbalance at airports and/or associated terminal airspace	++				
Canacity	Capacity shortfall &	Mitigate demand/capacity	4.4				

imbalance in en-route airspace

NOPS-B3/3	Innovative airspace architecture	Operational
☐ Sixth edition of th	e GANP 🕖	
Main Purpose 2	Service oriented airspace architecture/concept/deusers/actors.	esign/management to fulfil the needs of all kind of
New Capabilities 2	New airspace concept with associated management actors and operations including very low and sub-	ent/institutional structures in place to incorporate all orbital commercial space.
Description 2	A holistic airspace/architecture including design a to protect a "flight" along its path. Specific operati actor/user will be accommodated. Different taxono place. Required infrastructure and its performance centralised systems, contingency and emergency be in place (e.g. management of areas that are possent evolve in 4D) and Variable Profile Areas will be	onal characteristics/type of operations for each omy/procedures management functions will be in will be relevant to the need including regional cases. Dynamic management of moving areas will otentially unsafe due to weather phenomena that
Maturity Level 2	-	
Human Factor		



Considerations

Capacity

associated delay

OPERATIONS ①

Departure En-route Arrival

## DEPENDENCIES AND RELATIONS @

Type of Dependencies ASBU Element

Evolution	NOPS-B2/2 - Enhance	ced dynamic airspace configuration
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#### **ENABLERS**

There are currently no enablers.

## **DEPLOYMENT APPLICABILITY**

**Operational conditions:** 

Main intended benefits:

Type Operational description

**Benefitting stakeholder(s)** 

#### INTENDED PERFORMANCE IMPACT ON SPECIFIC KPAS AND KPIS

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	KPI
Access and equity		Improve access and equity	++	

# OPFL \_\_\_\_\_

OPFL-B0/1	In Trail Procedure (ITP)	Operational							
☐ Sixth edition of the	☐ Sixth edition of the GANP ②								
Main Purpose 2	To enable aircraft to reach a more satisfactory flight safety.	nt level for flight efficiency or to avoid turbulence for							
New Capabilities 2	The procedure couples the capability of the control pair(s) of aircraft with the ability of the trailing aircraft aircraft(s) to allow for the safe issuance of the ITP of	aft to space itself accurately from the preceding							
Description 2	ITP is primarily intended to help facilitate access to airspace where no ATS surveillance service is avaint position broadcast (ADS-B) data from up to two notal altitude, position and ground speed of reference air board equipment (on-board decision support systems is possible. Based on the processed broadcast data an ITP climb or descent request to air traffic control board equipment to evaluate the situation and provided	ilable. The ITP aircraft must acquire and process on-manoeuvring aircraft. Aircraft identification, rcraft would be assessed by the ITP aircraft's onem) to determine whether an ITP climb or descent ata from the reference aircraft(s), a pilot can make of (ATC). Pilots are responsible for using the on-							
Maturity Level 2	Ready for implementation								

### PLANNING LAYERS ?

Tactical-During ops

## **OPERATIONS 2**

En-route

#### DEPENDENCIES AND RELATIONS 3

There are currently no dependencies.

#### **ENABLERS**

There are currently no enablers.

#### **DEPLOYMENT APPLICABILITY**

**Operational conditions:** 

Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)
Direct benefits	Improve situational awareness of flight crew and ATCO	ANSP Aircraft operator

#### INTENDED PERFORMANCE IMPACT ON SPECIFIC KPAS AND KPIS

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	КРІ
Efficiency	Vertical flight efficiency	Increase acceptance of pilot requests for higher cruise level	++	KPI18: Level capping during cruise
Efficiency	Vertical flight efficiency	Reduce level restrictions during cruise issued by ATCOs for conflict resolution purposes	++	KPI18: Level capping during cruise

# OPFL-B1/1 Climb and Descend Procedure (CDP) Operational Sixth edition of the GANP The CDP was designed to improve service to appropriately equipped aircraft by providing an air traffic controller with another option for initiating an altitude change when existing separation minima do not allow an aircraft to climb or descend through the altitude of a blocking aircraft. New Capabilities The capability for the controller to request current position and intent from pair(s) (ADS-C capability) aircraft provides the situational awareness to allow the controller to use the simultaneous reporting of position to support the procedure at less than the nominal separation.

The CDP utilizes existing ADS-C aircraft equipage and air traffic control (ATC) capabilities to allow more flights to achieve their preferred vertical profiles. Integral to the CDP is the use of advanced communication and surveillance capabilities (i.e. ADS-C and CPDLC). The CDP is conceptually modelled after existing in-trail distance measuring equipment (DME) rules set forth in the Procedures for Air Navigation Services — Air Traffic Management (PANS-ATM, Doc 4444), paragraph 5.4.2.3.4. Aircraft pair distance verification is performed by the ground automation system using simultaneous ADS-C demand contract reports.

Maturity Level ?

Standardization

Human Factor
Considerations

#### PLANNING LAYERS 3

Tactical-During ops

OPERATIONS ②

En-route

#### DEPENDENCIES AND RELATIONS @

There are currently no dependencies.

#### **ENABLERS**

There are currently no enablers.

#### **DEPLOYMENT APPLICABILITY**

**Operational conditions:** 

Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)
Direct benefits	Improve situational awareness of flight crew and ATCO	ANSP Aircraft operator

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	КРІ
Efficiency	Vertical flight efficiency	Increase acceptance of pilot requests for higher cruise level	++	KPI18: Level capping during cruise
Efficiency	Vertical flight efficiency	Reduce level restrictions during cruise issued by ATCOs for conflict resolution purposes	++	KPI18: Level capping during cruise

OPFL-B2/1

Separation minima using ATS surveillance systems where VHF voice communications are not available Operational

☐ Sixth edition of the GANP ?

Main Purpose ?

With the advent of Space-based ADS-B data in oceanic and remote continental areas, there are projected Capacity, Efficiency and Environmental advantages in SADS-B derived separation minima.

ICAO has published reduced lateral and longitudinal separation minima that capitalize on the increased frequency of position information update, while retaining the procedural foundation of requiring aircraft to remain on tracks to ensure separation, thereby making accessible such capacity, efficiency and environmental advantages.

New Capabilities ?

Implementation of the new lateral and longitudinal separation minima will provide alleviation from level congestion, and a consequent increase in access to optimal flight levels.

Description ?

The availability of additional usable flight levels will increase airspace efficiency while decreasing congestion at lower flight levels. This will result in reduced fuel burn and consequently reduce greenhouse gas emissions.

Maturity Level ?

Ready for implementation

Human Factor
Considerations

- 1. Does it imply a change in task by a user or affected others? Yes
- 2. Does it imply processing of new information by the user? Yes
- 3. Does it imply the use of new equipment? Yes
- 4. Does it imply a change to levels of automation? Yes

#### PLANNING LAYERS ?

Tactical-During ops

ENIARI ERS

**OPERATIONS 2** 

En-route

#### DEPENDENCIES AND RELATIONS 2

standard

There are currently no dependencies.

LINADLLI	()				
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Regulatory provisions	National regulatory framework	National framework amendment for new separation	National regulation amendment for new separation standard. References: DOC. 4444 – Procedures for Air Navigation Services – Air Traffic Management	CAA	2020

(PANS-ATM)

Operational procedures	Separation	Procedures for separation.	Defined in PANS-ATM.	ANSP	2020
Airborne system capability	Navigation	RNP4/RNP2	Aircraft should be equipped with RNP4/RNP2. Reference: Doc 9613 (PBN Manual)	Aircraft manufacturer Aircraft operator	2020
Airborne system capability	Communicati on service	RCP 240	Aircraft should be equipped with RCP 240. Reference: ICAO Doc 10037 Edition 1 Global Operational Datalink Manual (GOLD)	Aircraft manufacturer Aircraft operator	2020
Airborne system capability	Surveillance	Surveillance capability for the new separation minima.	Surveillance capability such as ADS-B OUT transponder. Reference: Annex 10 Vol IV.	Aircraft manufacturer Aircraft operator	2020
Ground system infrastructur e	Surveillance	Surveillance system for the new separation minima.	To support the separation application. Reference: Annex 10 Vol IV.	ANSP	2020
Ground system infrastructur e	ATC systems	ATC tool for separation minima	Ground tools/capabilities that assist the air traffic controller in providing separation.	ANSP	2020
Training	-	Training requirements for separation minima using ATS surveillance systems where VHF voice communications are not available	For Air traffic controllers. References: Annex 1, PANS-ATM. And for crew for awareness.	ANSP Aircraft operator	2020

#### **Operational conditions:**

Oceanic and continental en-route environments. To increase capacity and to allow a more optimum flight level.

#### Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)
	Efficiency	Aircraft operator
Direct benefits	Capacity	ANSP Aircraft operator
	Access	Aircraft operator
Indirect benefits	Environment	General citizen

after the ++	KPI05: Actual enroute extension
++	route extension
at the ++	KPI04: Filed flight plan en-route extension
+	KPI16: Additional fuel burn
nefficiency ++	KPI18: Level capping during cruise
ne	t of + efficiency ++

OPFL-B3/1	Helicopter RNP 0.3 Terminal and En-Route Operational Operations
☐ Sixth edition of th	e GANP ②
Main Purpose ?	Existing PBN track separation guidance for fixed wing airplane routes does not fully serve the helicopter IFR mission profiles which may require routes to be established at low altitude in mountainous and obstacle-rich environments or over densely populated regions with approaches to Points-In-Space (PINS) or helipads/heliports in airspace not typically used for fixed wing operations.
	Unlike fixed wing aircraft, helicopter normal operating capability, i.e. en-route cruising speed and manoeuvring ability is suited to RNP 0.3 for en-route as well as the terminal environment. Studies have shown that increasing the availability of IFR service to helicopter operations has the potential to decrease the risk of accidents for helicopter operations in marginal weather conditions.
New Capabilities 2	Facilitating arrivals and departures, and en-route IFR Helicopter operations in terrain rich environments.
Description ?	Evolution of lateral track separation for parallel helicopter RNP 0.3 routes to facilitate safe and efficient IFR helicopter operations in all phases of flight.
Maturity Level 2	Validation
Human Factor	1. Does it imply a change in task by a user or affected others? Yes
Considerations	2. Does it imply processing of new information by the user? Yes
	3. Does it imply the use of new equipment? No
	4. Does it imply a change to levels of automation? Yes

## DEPENDENCIES AND RELATIONS 2

There are currently no dependencies.

Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Regulatory	National regulatory framework	National framework amendment for performance- based separation provisions for helicopter specific navigation capability	National regulation amendment for performance-based separation provisions for helicopter specific navigation capability. References: DOC. 4444 – Procedures for Air Navigation Services – Air Traffic Management (PANS-ATM)	CAA	2028
Operational procedures	Design	PBN procedures design and use	These operational procedures should be designed and used as specified in Doc 8168 (PANS-OPS Vol II and I) or equivalent.	ANSP	2028
Operational procedures	Design	PBN procedure validation, approval and publication	A flight inspection and/or validation of the procedures might be required before publication. The publication of the procedures should follow Annex 4.  References: ICAO Doc 9906 (Quality Assurance Manual for Flight Procedure Design).	ANSP CAA	2028
Operational procedures	Operations	Procedures for the crew to follow to fly a PBN approach.	Defined in the Ops Manual. Reference: Doc 9613 (PBN Manual)	Aircraft operator	2028
Operational procedures	Separation	Procedures for separation	Defined in the Ops Manual. Reference: PANS-ATM	ANSP	2028
Operational Authorizatio n	-	Operational Authorization for PBN specification	Aircraft operator flying a PBN procedure should have an operational authorization related to the specified performance of the procedure, as described in Doc 9997 (PBN Ops Approval Manual).	CAA Aircraft operator	2022

Airborne	Navigation	Helicopter	Helicopters should be equipped with RNP	Aircraft manufacturer	2021
system capability		capability RNP 0.3	0.3. Reference: Doc 9613 (PBN Manual)	Aircraft operator	
Training	-	Training	Crew trained to fly the procedure.	ANSP	2028
		requirements for Helicopter RNP 0.3 Terminal and En-Route Operations	References: As defined in Doc 9613 (PBN Manual). For Air traffic controllers. References: PANS-ATM. References: PANS-OPS Vol II and Doc 9992 Manual on the Use of Performance-Based Navigation (PBN) in Airspace Design	Aircraft operator	

#### **Operational conditions:**

For operations of helicopters in terminal and en-Route in complex urban environment or areas with abrupt terrain. Preserving safety and providing access to helicopters for emergency purposes for example.

#### Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)
Direct benefits	Safety	Aircraft operator General citizen
Direct benefits	Access	Aircraft operator General citizen
Indirect benefits	Environment	General citizen

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	КРІ
Capacity	Capacity, throughput & utilization	Increase planned capacity (the maximum configuration capacity established as part of [multi-year] ATM planning)	+	KPI06: En-route airspace capacity
Efficiency	Flight time & distance	Optimise horizontal flight efficiency in the en-route phase	+	
Safety		Avoid controlled flight into terrain (CFIT) and obstacle collision risk	++	KPI20: Number of aircraft accidents

☐ Sixth edition of the GANP ②

Main Purpose ?

The demand for flights in the existing RVSM band of flight levels (FL290- FL410) has increased to the point where, at certain altitudes, it is generating congestion similar to that which prevailed before the implementation of RVSM.

The introduction of RVSM added six flight levels, the immediate impact and benefit of which were mostly observed in the FL 330 to FL 370 band of flight levels as this is where the majority of civil flights operated. Initially the upper levels of RVSM airspace were sparsely occupied. With the proliferation of modern civil aircraft capable of efficient operations at higher flight levels, occupation of FL 400 and FL 410 has increased with routing options becoming limited as a result of congestion, with consequent inefficiency and fuel burn/emissions affected adversely. Expansion of the upper bound of the RVSM band of flight levels will provide alleviation from level congestion.

New Capabilities 2 Expansion of the upper bound of the RVSM band of flight levels will provide alleviation from level congestion, and a consequent increase in access to optimal flight levels.

Description ?

The availability of additional usable flight levels will increase airspace efficiency while decreasing congestion at lower flight levels. This will result in reduced fuel burn and consequently reduce greenhouse gas emissions.

Maturity Level ?

Validation

**Human Factor** Considerations

- 1. Does it imply a change in task by a user or affected others? Yes
- 2. Does it imply processing of new information by the user? Yes
- 3. Does it imply the use of new equipment? Yes
- 4. Does it imply a change to levels of automation? Yes

#### PLANNING LAYERS ?

Tactical-During ops

OPERATIONS ?

En-route

#### **DEPENDENCIES AND RELATIONS 3**

There are currently no dependencies.

ENABLERS							
Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year		
Regulatory provisions	National regulatory framework	National framework amendment for allowing qualified aircraft to operate at the additional RVSM levels	National regulation amendment for allowing qualified aircraft to operate at the additional RVSM levels. References: Annex 2, Annex 11; Annex 6; DOC. 4444  – Procedures for Air Navigation Services  – Air Traffic Management (PANS-ATM)	CAA	2028		

Operational procedures	Design and operations	Procedures for the use of additional RVSM levels	Design and use of additional flight levels. Reference: Annex 2	ANSP	2028
Operational	-	Operational	Aircraft operator flying an additional	CAA	2028
Authorizatio n		Authorization for extended RVSM	RVSM level should have an authorization as per Doc 9760.	Aircraft operator	
Airborne	Altimeter	Height keeping	Aircraft should be equipped with	Aircraft manufacturer	2028
system capability		capability	appropriate height keeping capability. Reference: Doc 9937.	Aircraft operator	
Training	-	Training	Crew trained to fly the procedure.	ANSP	2028
		requirements for the expansion of	References: Annex 6. For Air traffic controllers. References: PANS-ATM.	Aircraft operator	
		upper limit of the Reduced Vertical Separation Minima (RVSM) band of flight levels			

#### **Operational conditions:**

Oceanic and continental en-route environments. To increase capacity and to allow a more optimum flight level.

#### Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)
	Efficiency	Aircraft operator
Direct benefits	Capacity	ANSP Aircraft operator
	Access	Aircraft operator
Indirect benefits	Environment	General citizen

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	KPI
Capacity	Capacity, throughput & utilization	Increase planned capacity (the maximum configuration capacity established as part of [multi-year] ATM planning)	++	KPI06: En-route airspace capacity
Efficiency	Fuel burn	Reduce fuel burn impact of impeded conditions	+	KPI16: Additional fuel burn

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	КРІ
Efficiency	Vertical flight efficiency	Reduce cruise level inefficiency attributable to altitude constraints imposed by ATM	++	KPI18: Level capping during cruise

OPFL-B3/3 Target-to-target separations using Space-based Operational ADS-B data

☐ Sixth edition of the GANP ②

Main Purpose With the advent of Space-based ADS-B data in oceanic and remote continental areas, there are projected Capacity, Efficiency and Environmental advantages in SADS-B derived separation

minima.

A "radar-like" target-to-target separation minima that capitalizes on the increased frequency of position information updates but makes use of datalink communications capability (for circumstances where VHF communications do not exist) will offer increased flexibility and free aircraft form needing to adhere to specific tracks.

New Capabilities Implementation of the target-to-target separation minima will provide alleviation track adherence requirements associated with procedural separation minima. And offer a consequent increase in access to optimal flight levels.

Description The availability of the flexibility offered by target-to-target separation will increase airspace efficiency, resulting in reduced fuel burn and consequently reduced greenhouse gas emissions.

Maturity Level Validation

Human Factor Considerations 1. Does it imply a change in task by a user or affected others? Yes

- 2. Does it imply processing of new information by the user? Yes
- 3. Does it imply the use of new equipment? Yes
- 4. Does it imply a change to levels of automation? Yes

#### PLANNING LAYERS ②

Tactical-During ops

**OPERATIONS ?** 

En-route

#### DEPENDENCIES AND RELATIONS 3

There are currently no dependencies.

#### **ENABLERS**

Enabler Category	Enabler Type	Enabler Name	Description / References	Stakeholders	Year
Regulatory provisions	National regulatory framework	National framework amendment for target-to-target separation	National regulation amendment for target- to-target separation. References: DOC. 4444 – Procedures for Air Navigation Services – Air Traffic Management (PANS-ATM)	CAA	2024
Operational procedures	Separation	Target to target separation	Procedures for target-to-target separation. Defined in PANS-ATM.	ANSP	2024
Airborne system capability	Communicati on service	RCP 240 .	Aircraft should be equipped with RCP 240. Reference: ICAO Doc 10037 Edition 1 Global Operational Datalink Manual (GOLD)	Aircraft manufacturer Aircraft operator	2024
Airborne system capability	Surveillance	Surveillance capability for the new target-to- target separation minima.	Surveillance capability such as ADS-B OUT transponder. Reference: Annex 10 Vol IV.	Aircraft manufacturer Aircraft operator	2024
Ground system infrastructur e	Surveillance	Surveillance system for the new target-to- target separation minima.	To support the separation application.  Reference: Annex 10 Vol IV.	ANSP	2024
Ground system infrastructure	ATC systems	ATC tool for target to target separation	Ground tools/capabilities that assist the air traffic controller in providing target to target separation.	ANSP	2024
Training	-	Training requirements for target-to-target separation	For Air traffic controllers. References: Annex 1, PANS-ATM. And for crew for awareness.	ANSP Aircraft operator	2024

## **Operational conditions:**

Oceanic and continental en-route environments. To increase capacity and to allow a more optimum flight level.

#### Main intended benefits:

Туре	Operational description	Benefitting stakeholder(s)	
	Efficiency	Aircraft operator	
Direct benefits	Capacity	ANSP Aircraft operator	
	Access	Aircraft operator	
Indirect benefits	Environment	General citizen	

КРА	Focus Areas	Most specific performance objective(s) supported	KPI Impact	KPI
Efficiency	Flight time & distance	Improve route selection after the flight planning stage	++	KPI05: Actual enroute extension
Efficiency	Flight time & distance	Improve route selection at the flight planning stage	++	KPI04: Filed flight plan en-route extension
Efficiency	Fuel burn	Reduce fuel burn impact of impeded conditions	+	KPI16: Additional fuel burn
Efficiency	Vertical flight efficiency	Reduce vertical flight inefficiency during the cruise phase	++	KPI18: Level capping during cruise